

CORRECTED VERSION

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
17 August 2000 (17.08.2000)

PCT

(10) International Publication Number  
**WO 00/48172 A2**

- (51) International Patent Classification<sup>7</sup>: **G11B** **WOODS, Joseph**: Apartment A14C, 2150 Rosa Road, Schenectady, NY 12309 (US).
- (21) International Application Number: **PCT/US00/03644**
- (22) International Filing Date: 11 February 2000 (11.02.2000)
- (25) Filing Language: **English**
- (26) Publication Language: **English**
- (30) Priority Data:  
60/120.101 12 February 1999 (12.02.1999) **US**  
60/134.585 17 May 1999 (17.05.1999) **US**  
60/137.883 7 June 1999 (07.06.1999) **US**  
60/137.884 7 June 1999 (07.06.1999) **US**  
60/146.248 29 July 1999 (29.07.1999) **US**
- (71) Applicant: **GENERAL ELECTRIC COMPANY**  
[US/US]: 1 River Road, Schenectady, NY 12345 (US).
- (72) Inventors: **DAVIS, John, Edward**: 58 Salvatore Drive, Westfield, MA 01085 (US). **FURLANO, Daniel**: 274 Williams Street, Pittsfield, MA 01201 (US). **LANDA, Bernard, Paul**: F3 Pondview Drive, Pittsfield, MA 01201 (US). **LIKIBI, Parfait, Jean, Marie**: 3322 Westhampton Drive, Newburgh, IN 47630 (US). **FEIST, Thomas, Paul**: 11 Wintergreen Circle, Clifton Park, NY 12065 (US). **DAI, Kevin, Hsingtao**: 3 Carlton Oaks, Clifton Park, NY 12065 (US). **SUBRAMANIAN, Suresh**: 89 Dane Court, Latham, NY 12110 (US). **HARIHARAN, Ramesh**: 82C Yorkshire Drive, Guilderland, NY 12084 (US). **BUSHKO, Wit, Cezary**: 118 Haswell Road, Watervliet, NY 12189 (US). **KUBOTERA, Kazunao**: 31 Sheffield Drive, Delmar, NY 12054 (US). **GORCZYCA, Thomas, B.**: 3059 New Williamsburg Drive, Schenectady, NY 12303 (US).
- (74) Agent: **SNYDER, Bernard**: General Electric Company, 3135 Easton Turnpike W3C, Fairfield, CT 06431 (US).
- (81) Designated States (*national*): AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW.
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- Published:  
— *with declaration under Article 17(2)(a); without abstract; title not checked by the International Searching Authority*
- (48) Date of publication of this corrected version:  
3 January 2002
- (15) Information about Correction:  
see PCT Gazette No. 01/2002 of 3 January 2002, Section II
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: DATA STORAGE MEDIA

(57) Abstract:

WO 00/48172 A2

## DATA STORAGE MEDIA

### TECHNICAL FIELD

The present invention relates to data storage media, and especially relates to methods of making data storage media and to partially and wholly polymeric data storage media.

### BACKGROUND OF THE INVENTION

Optical, magnetic and magneto-optic media are primary sources of high performance storage technology which enables high storage capacity coupled with a reasonable price per megabyte of storage. Areal density, typically expressed as billions of bits per square inch of disk surface area (Gbits per square inch (Gbits/in<sup>2</sup>)), is equivalent to the linear density (bits of information per inch of track) multiplied by the track density in tracks per inch. Improved areal density has been one of the key factors in the price reduction per megabyte, and further increases in areal density continue to be demanded by the industry.

In the area of optical storage, advances focus on access time, system volume, and competitive costing. Increasing areal density is being addressed by focusing on

the diffraction limits of optics (using near-field optics), investigating three dimensional storage, investigating potential holographic recording methods and other techniques.

Conventional polymeric data storage media has been employed in areas such as compact disks (CD-ROM) and recordable or re-writable compact disks (e.g., CD-RW), and similar relatively low areal density devices, e.g. less than about 1 Gbits/in<sup>2</sup>, which are typically read-through devices requiring the employment of a good optical quality substrate having low birefringence.

Referring to Figure 1, a low areal density system 1 is illustrated having a read device 3 and a recordable or re-writable storage media 5. The storage media 5 comprises conventional layers, including a data layer 7, dielectric layers 9 and 9', reflective layer 11, and protective layer 13. During operation of the system 1, a laser 15 produced by the read device 3 is incident upon the optically clear substrate 17. The laser passes through the substrate 17, and through the dielectric layer 9, the data layer 7 and a second dielectric layer 9'. The laser 15 then reflects off the reflective layer 11, back through the dielectric layer 9', the data layer 7, the dielectric layer 9, and the substrate 17 and is read by the read device 3.

Unlike the CD and beyond that of the DVD, storage media having high areal density capabilities, typically greater than 5 Gbits/in<sup>2</sup>, employ first surface or near field read/write techniques in order to increase the areal density. For such storage media, although the optical quality of the substrate is not relevant, the physical and mechanical properties of the substrate become increasingly important. For high areal density applications, including first surface applications, the surface quality of the storage media can effect the accuracy of the reading device, the ability to store data, and replication qualities of the substrate. Furthermore, the physical characteristics of the storage media when in use can also effect the ability to store and retrieve data; i.e. the axial displacement of the media, if too great, can inhibit accurate retrieval of data and/or damage the read/write device.

Conventionally, the above issues associated with employing first surface, including near field, techniques have been addressed by utilizing metal, e.g., aluminum, and glass substrates. These substrates are formed into a disk and the desired layers are disposed upon the substrate using various techniques, such as sputtering. Possible layers include reflective layers, dielectric layers, data storage layers and protective layers. Once the desired magnetic layers have been added, the disk may be partitioned into radial and tangential sectors through magnetic read/write techniques. Sector structure may also be added through physical or chemical techniques, e.g. etching, however this must occur prior to the deposition of the magnetic layers.

As is evident from the fast pace of the industry, the demand for greater storage capacities at lower prices, the desire to have re-writable disks, and the numerous techniques being investigated, further advances in the technology are constantly desired and sought. What is needed in the art are advances in storage media substrate materials enabling storage media to be utilized in first surface, including near field, applications.

#### BRIEF SUMMARY OF THE INVENTION

The present invention relates to data storage media as well as methods for making and processes for using the same. In some preferred embodiments, the storage media comprises: a substrate comprised of at least one plastic resin portion, and at least one data layer on the substrate wherein the data layer can be at least partly read from, written to, or a combination thereof by at least one energy field, and wherein when the energy field contacts the storage media said energy field is incident upon the data layer before it could be incident upon the substrate.

In another embodiment, the data storage media comprises: a rigid substrate, a plastic layer comprising surface features, and at least one additional layer on the plastic layer. The plastic layer preferably is made from a resin capable of withstanding various techniques, such as sputtering, for additional desired layers

including, e.g., reflective layers, dielectric layers, data storage layers, and protective layers. The surface features present in the plastic layer are preferably embossed surface features and the plastic layer has preferably been applied to the substrate using spin coating, and/or spray coating techniques. In another embodiment, the method  
5 comprises: forming a plastic substrate followed by forming a data storage layer onto the substrate. The method can comprise introducing a molten polymer to a mold to make a plastic substrate; cooling said plastic substrate; and forming a data storage layer onto said plastic substrate. The method can optionally comprise forming geographic locators on at least one side of said plastic substrate in situ. The method  
10 may also optionally comprise forming the data storage layer over the geographic locators.

In yet another embodiment, the method of storing data comprises: rotating a storage media comprising a substrate having at least a plastic portion and at least one data layer disposed on at least one surface of said substrate; directing an energy field  
15 at said storage media such that said energy field is incident upon the data layer before it can be incident upon the substrate; and retrieving information from the data storage layer. A variety of energy fields could be employed, e.g., magneto-optic storage wherein a magnetic field and/or optical laser are used to write but an optical beam utilizing reflecting optics is used to read.

## 20 Description of the Drawings

Figure 1 is a cross-sectional illustration of a prior art low areal density system employing an optically clear substrate.

Figure 2 is a cross-sectional illustration of a read/write system using one possible embodiment of a storage media of the present invention with a light incident  
25 on the data storage layer without passing through the substrate.

Figure 3 is a cross-sectional illustration of one embodiment of a magnetic data storage substrate of the present invention.

Figure 4 is a graph of flexural modulus versus specific gravity for various fundamental axial modal frequencies of a monolithic disk having a 95 mm outer diameter by 0.8 mm thickness.

Figure 5 is a graph of specific stiffness (flexural modulus divided by specific gravity) versus damping coefficient for various peak to peak axial displacements when excited by a 1 G sinusoidal load.

Figure 6 is a graph representing the fundamental axial modal frequency for a multi layered composite 130 mm outer diameter by 1.2 mm thickness disk with homogeneous layers of neat and reinforced polymer.

Figure 7 is graph representing axial displacement peak to peak from vibration at the first fundamental frequency for a multi-layered composite (ABA co-injected disk) 130 mm outer diameter by 1.2 mm thickness disk having homogeneous layers of neat and reinforced polymer.

Figures 8 to 21 illustrate various cross-sectional and top views of embodiments of the present invention having a core/insert of material, or hollow or filled cavities, with the core/insert disposed at various locations, with various geometries.

Figure 22 is an embodiment similar to Figure 19 illustrating a non-homogenous (ABA) substrate with pits or grooves.

Figures 23 and 24 are cross-sectional views of additional embodiments of the present invention illustrating a substrate having thin plastic film.

Figure 25 is a cross-sectional view of one embodiment of a tri-component disk of the present invention.

Figure 26 is a cross-sectional view of another embodiment of a disk of the present invention secured with a clamp.

Figure 27 is a cross-sectional view of yet another embodiment of the present invention having a thin plastic film disposed on a portion of a core.

5        Figures 28 to 32 illustrate various embodiments of possible substrate geometries for the substrate of the present invention.

Figures 33 to 35 illustrate various embodiments of possible core geometries for the storage media of the present invention.

10        Figure 36 illustrates modal shapes obtained from with a 130 mm disk via chirp excitation.

The above described Figures are meant to be exemplary, not limiting, merely illustrating some of the potential embodiments of the present invention.

#### Detailed Description of the Invention

15        The present invention is directed to data storage media partially or wholly comprised of a plastic material. This storage media is useful in high areal density applications, first surface and similar applications, wherein an energy field incident on the data storage layer(s) contacts the data storage layer(s) without or at least prior to contacting the substrate. In other words, in contrast to conventional compact disks  
20        (CDs) and similar applications, the energy field does not pass through the substrate to contact the data storage layer or reflect back through the substrate to the reading device. In order to function in such high areal density applications the storage media quality must exceed that of conventional CDs and related media. The storage media, compared to conventional CDs and similar media, should have a reduced axial  
25        displacement when excited by environmental and/or rotational vibrations, greater

surface quality denoted by fewer irregularities or imperfections, and lower rotating moment of inertia (preferably about 5.5 slug-in<sup>2</sup> or less, with about 4.5 slug-in<sup>2</sup> or less more preferred, and about 4.0 slug-in<sup>2</sup> or less especially preferred), among other qualities. Furthermore, the storage media preferably comprises areal densities  
5 exceeding about 5 Gbits/in<sup>2</sup>, with greater than about 20 Gbits/in<sup>2</sup> more preferred, greater than about 50 Gbits/in<sup>2</sup> especially preferred, and up to or exceeding about 100 Gbits/in<sup>2</sup> anticipated.

Generally, in high areal density applications, i.e. about 5 Gbits/in<sup>2</sup> or greater, the read/write device is located relatively close to the surface of the storage media  
10 (stand-off distance). In general, the higher the density sought, the closer the read/write device should be to the surface of the storage media. Typically in these instances, the stand-off distance is generally less than about 0.3 millimeters (mm), and often less than about 760 nanometers (nm). For extremely high density, the read/write device is preferably extremely close, e.g., less than about 0.064 microns  
15 ( $\mu$ ), often less than about 0.013  $\mu$  from the surface. Consequently, the axial displacement of the substrate should be sufficiently less than a tolerable system deflection distance in order to prevent damage to the read/write device and/or storage media surface during vibration and/or shock conditions. For example, for a disk (130 mm in outer diameter, 40 mm in inner diameter, and 1.2 mm in thickness)  
20 experiencing a sinusoidal gravitational loading of about 1 G, a resonant frequency of about 170 Hz, and a stand-off distance of about 0.051  $\mu$ , an axial displacement in peak to peak measurement of less than about 250  $\mu$  is preferred, with less than about 125  $\mu$  especially preferred for instances when damage to the substrate and/or the read/write device is a primary concern. Preferably, an axial displacement in peak to peak  
25 measurement of about 500  $\mu$  or less, with about 250  $\mu$  or less preferred, is maintained to a shock maximum of about 25 G's, with an about 2 to about 10 milliseconds (msec) application time and maintaining such a displacement to about 35 G's preferred. However, in other instances, e.g., those with a larger standoff distance (e.g., the about 0.30  $\mu$  or more stand-off) damage to the head is not a dominating issue



but rather, a very low axial displacement and/or disk tilt is preferred to allow for the optics to remain in focus since they may be incapable of responding to rapid changes in focal length. The maximum radial tilt and tangential tilt are independently, preferably, no more than about  $1^\circ$  each, and more preferably less than about  $0.3^\circ$  each, measured in a resting state (i.e., not spinning).

The substrate axial displacement is a function of several characteristics, including, but not limited to, the disk size requirements (inner and outer radii, and thickness), its stiffness (flexural modulus) and density, Poisson's ratio, loss modulus and storage modulus, and combinations thereof and others. As the disk's outer radius increases, the axial displacement of the disk under shock and vibration conditions also increases, and as the disk thickness decreases, its sectional stiffness decreases while its axial displacement increases. Currently, the dimensions of the storage media are specified by the industry to enable their use in presently available storage media reading/writing devices. Consequently, the storage media typically has an inner diameter of up to about 40 millimeters (mm) and an outer diameter of up to about 130 mm or greater, with an inner diameter of about 15 mm to about 40 mm and an outer diameter of about 65 mm to about 130 mm generally employed. The overall thickness typically employed is about 0.8 mm to about 2.5 mm, with a thickness up to about 1.2 mm typically preferred. Other diameters and thicknesses could be employed to obtain the desired architecture.

In addition to axial displacement, stiffness affects the fundamental frequencies for vibration of the substrate. It has been determined that the occurrence of the fundamental modal frequency can be adjusted based upon several factors, including material properties, e.g., the flexural modulus, thickness, and/or the specific gravity (S.G.)/density of the substrate or design architecture, e.g. internal/external stiffeners. (See Figure 4) Since the modal frequencies define the frequency at which the substrate naturally resonates, displacing the disk out of plane, it is preferred to have the substrate's first modal frequency outside of the storage media's normal operating frequency. Normal operating frequencies are typically about 20 Hz to about 500 Hz,

with greater than 500 Hz anticipated for future applications. Consequently, the substrate preferably possesses a flexural modulus/density which places the first modal frequency outside of the storage media's operating frequency. As is evident from Figure 4 (whose properties are set forth in the Table below) the interrelationship of flexural modulus and specific gravity/density greatly effects the desired substrate flexural modulus and density. Preferably, the stiffness should be high and the density should be low. Typically, the flexural modulus should be about 350 thousand pounds per square inch (kpsi) or greater, with a flexural modulus of about 500 kpsi or greater preferred, and a flexural modulus of about 1000 kpsi or greater especially preferred, while the specific gravity is preferably about 1.5 or less, with a specific gravity of about 1.3 or less more preferred, and a specific gravity of about 1.0 or less especially preferred.

As with the axial displacement, due to the small stand-off distances employed and the deleterious effect of surface roughness on carrier-to-noise ratio, the substrate should have a high surface quality, particularly in the area of the storage media where the data is stored, and should be substantially flat to inhibit damage to the read/write device or surface of the storage media, and to enable accurate data deposit and retrieval. Preferably, the substrate has at least a portion of its surface with an average surface roughness ( $R_a$ ) as measured by atomic force microscopy) of less than about 100 Angstroms ( $\text{\AA}$ ), preferably with a roughness of less than about  $10\text{\AA}$ , and more preferably with a roughness of less than about  $5\text{\AA}$ . (Roughness is typically an average of a  $10\mu$  by  $10\mu$  area of the substrate.) The microwaviness of the surface, which is typically an average of a 1 mm by 1 mm area, can be up to about  $100\text{\AA}$ , with up to about  $10\text{\AA}$  preferred, and up to about  $5\text{\AA}$  especially preferred. With respect to the flatness (also known as "run-out"), a substantially flat substrate essentially free of ridges or valleys, is especially preferred. A run-out of up to about  $100\mu$  can be employed, with a run-out of up to about  $10\mu$  preferred, and a run-out up to about  $5\mu$  especially preferred. (Flatness is typically an average of the area of the entire disk.)

At such small stand-off distances, a ridge at or near the edge of the substrate, commonly known as edge-lift or ski-jump, can cause damage to the read/write device. The substrate should have a edge-lift height of less than about  $8\mu$ , with less than about  $5\mu$  preferred, and less than about  $3\mu$  especially preferred, with a edge-lift length  
5 of less than about  $800\mu$  preferred, and less than about  $500\mu$  especially preferred.

The storage media can be used in a variety of systems, some of which will employ a restraining device necessitating consideration of stiffness decay of the substrate. For a read/write system which employs a clamp, hub, or other restraining device to secure the storage media, the substrate should have a sufficient yield stress  
10 (at least in the contact area of the restraining device) to avoid mechanical decay (both based upon time and/or temperature). For a storage media having an outer diameter of about 65 mm to about 130 mm, that will be secured within a restraining device of a read/write system, the plastic resins to be used have a preferred yield stress of about 7,000 psi or greater, with a yield stress exceeding about 9,000 psi especially  
15 preferred. In the case of filled engineering plastic resins, higher yield stresses are obtainable, and yield stress exceeding about 10,000 pounds per square inch (psi) are preferred, with greater than about 15,000 psi especially preferred.

Some such disks are illustrated in Figures 25 to 27. Figure 25 illustrates a disk 200 having a polymer surface 202, a filled or hollow core 204, with a central  
20 portion 206 of a material comprising a higher yield stress than the plastic 202, such as a metal (e.g., aluminum), glass, ceramic, metal-matrix composite, and alloys and combinations comprising at least one of the foregoing, and the like. Figure 26 illustrates a disk having a high yield stress central portion 206 attached to a clamp 208. Meanwhile, Figure 27 illustrates yet another embodiment wherein the polymer  
25 is a thin film over a core 204' composed of the same material as the central portion 206. For example, the core can be metal with a plastic film 202 disposed over a portion or all of the core.

Conventional substrates, e.g., aluminum and ceramic substrates without a plastic overlay, have a very high stiffness (e.g., aluminum with a Young's modulus of about 70 gigapascals (GPa), and ceramic with a Young's modulus of about 200 GPa), a level above that which has been achieved with plastic substrates. It was  
5 unexpectedly found that the damping coefficient of a material is important to offset the decreased stiffness of plastic substrates as compared to aluminum. Consequently, in order to minimize effects of vibration of the disk, the visco-elastic material properties of the substrate can be adjusted to enable damping capabilities. Vibration damping is achieved in a general sense, for example, by inserting an appropriate  
10 spring/dashpot assembly between a vibration source and an object to be vibrated. For effective damping, the material should absorb and/or dissipate the energy of vibration transmitted through the material as energy (e.g., heat energy) converted as a result of planar shearing or bulk compression and expansion of the material.

For visco-elastic materials, such as plastic resins, there exists both a storage  
15 modulus and a loss modulus. Storage modulus represents elastic stiffness and loss modulus represents viscous stiffness. For a storage media having a stiffness less than aluminum, it is preferred that the substrate have a mechanical damping coefficient (defined as the ratio of the loss modulus over the storage modulus) of greater than about 0.05 at a temperature of 75°F (about 24°C), with a mechanical damping  
20 coefficient of greater than about 0.10 at a temperature of 75°F preferred, and a mechanical damping coefficient of greater than about 0.15 at a temperature of 75°F especially preferred.

In addition, the damping properties of the material may be optimized such that, for a frequency and temperature range of interest, the damping coefficient value  
25 does not drop below the desired value. In some embodiments, the temperature range of interest and frequency range for applicability of the dampening is about 75°F (24°C) and about 2 Hz to about 150°F (65.5°C) and about 400 Hz preferred, with

about 32°F (0°C) and about 2 Hz to about 200°F (93.3°C) and about 500 Hz more preferred.

Figures 5 and 7 represent the relationship between axial displacement for a 1 G sinusoidal vibration load for various material properties and fixed geometries.

- 5 Figure 6 shows that the damping coefficient does not effect the first modal frequency while Figure 7 shows the effects of axial displacement on the first modal frequency.

Mechanical Input Properties for 130 mm ABA Co-Injected Disk					
Structure	Material	Storage Modulus (psi)	Damping Coefficient	Poisson's Ratio	S.G.
Skin	Neat Resin	3.15E+05	0.033	0.385	1.200
Core	Filled System	1.25E+06	0.040	0.375	1.315
		1.25E+06	0.060	0.375	1.320
		1.25E+06	0.080	0.375	1.325
		1.25E+06	0.100	0.375	1.330

- Damping, also referred to as dampening, can be achieved through a variety of approaches such as by addition of an energy absorbing component or through slip mechanisms involving various fillers and reinforcing agents. Useful materials that may improve the damping characteristics include elastic materials with high damping capabilities (e.g., a damping coefficient of greater than about 0.05), such as vulcanized rubbers, acrylic rubbers, silicone rubbers, butadiene rubbers, isobutylene rubbers, polyether rubbers, isobutylene-isoprene copolymers and isocyanate rubber, nitrile rubbers, chloroprene rubbers, chlorosulfonated polyethylene, polysulfide rubbers and fluorine rubber, block copolymers including polystyrene-polyisoprene copolymers such as described in U.S. Patent No. 4,987,194 (which is incorporated herein by reference), thermoplastic elastomeric materials, including polyurethanes,

and combinations comprising at least one of the foregoing, among others. Vibration-damping materials also include resins in which large amounts of particles (such as ferrites, metals, ceramics and the like), flakes (such as of talc, mica and the like), and various fibers (such as zinc oxide, wollastonite, carbon fibers, glass fibers, and the like), and mixtures comprising at least one of the foregoing, can be employed. Microfibers, fibrils, nanotubes, and whiskers, foamed and honeycombed structures may also be useful as are various combinations of the foregoing.

In addition to, or in place of, reducing axial displacement through the use of damping materials in the substrate, axial displacement can be reduced by utilizing a vibration damping material in the restraining device, or clamping structure, that holds the substrate. The addition of visco-elastic materials to the clamp or between the clamp and substrate effectively reduces axial displacement of the disk compared to the same structure without the additive. In one embodiment, the vibration-damping material should preferably have a damping coefficient higher than the damping coefficient of the disk substrate, and a modulus of elasticity high enough to reduce creep properties, e.g., a modulus of elasticity greater than about 20 kpsi. Useful materials that may improve the damping characteristics of the clamp include elastic materials with high damping capabilities, such as vulcanized rubbers, acrylic rubbers, silicone rubbers, butadiene rubbers, isobutylene rubbers, polyether rubbers, isobutylene-isoprene copolymers and isocyanate rubber, nitrile rubbers, chloroprene rubbers, chlorosulfonated polyethylene, polysulfide rubbers and fluorine rubber, block copolymers including polystyrene-polyisoprene copolymers such as described in U.S. Patent No. 4,987,194, thermoplastic elastomeric materials, including polyurethanes, and combinations thereof, among others. Foamed or honeycomb structures can also be useful.

Other factors which effect the stability and life of the storage media relate to dimensional stabilities and hygrothermal properties. A substrate with thermal and moisture dimensional stabilities at temperatures within the storage and operating temperature range of the storage media should be employed with thermal and

moisture stabilities at temperatures of about -6°C (21°F) to about 40°C (104°F) typically acceptable, stabilities within temperatures of about -12°C (10°F) to about 80°C (175°F) preferred, and stabilities within temperatures of about -16°C (3°F) to about 100°C (212°F) especially preferred. Due to the varied environments in which the storage media may be employed or stored, the storage media preferably has: (1) a heat distortion temperature greater than about 60°C (140°F), with greater than about 80°C preferred; (2) creep characteristics preferably equal to or better than that of bisphenol-A based polycarbonate resin; and (3) good hygrothermal properties such that the substrate does not significantly change shape, such as bow or warp.

5

10 Preferably, the substrate's moisture content varies less than about 1.0% at equilibrium, with less than about 0.5% at equilibrium more preferred, and less than about 0.3% at equilibrium especially preferred, under test conditions of 80°C at 85% relative humidity after 4 weeks.

In order to address the above design issues, this substrate can be homogenous or non-homogenous, and can have numerous geometries. The homogenous substrate can be a plastic which is substantially solid or may contain a varying degree of porosity or one or more cavities (see Figures 15, 16 17, and 33 to 35). As is illustrated in these Figures, the density of the substrate can be reduced by employing one or more hollow cavities (holes, bubbles, ribs, passageways, webs, etc.) within the substrate while maintaining a sufficiently smooth surface either by containing the cavities within the substrate or by utilizing a coating over the substrate in the area of the storage media where the data will be stored.

15

20

The size, shape, and location of the cavities is based upon the above mentioned design criteria. For example, referring to Figure 17, the cavities may be located near the outer diameter of the substrate such that the central area of the substrate, which may be secured to the hub of the media read/write system, has the maximum yield strength while the outer periphery of the substrate has reduced density and inertial issues. As can be seen in Figures 8 to 14, and 33 to 35, the cavity can have various geometries (linear, curved, convex, concave, convexo-concave,

25

concavo-concave, convexo-convex, and the like), sizes (width, length and height), and locations throughout the substrate (intermittent, from the inner diameter to the outer diameter, or any location therebetween), and can be interconnecting or separate.

The non-homogenous substrate can be a plastic with a filler, core, or other reinforcement or insert, or may be a composite material, or combinations thereof (see 5 Figures 8 to 27). As is shown in the various drawings and as stated below in greater detail, the material geometry, location, and size of the insert/core/reinforcement can be adjusted to address the various design criteria; such as interconnecting or separate, solid or non-solid, plates, web designs, hub designs, stiffening structures, inner 10 diameter and/or outer diameter inserts, top, middle, bottom, or offset designs, finger or directional design, concentric stiffeners, partial surface, welded, bonded, or encapsulated; or combinations comprising at least one of the foregoing.

Referring to Figures 22 to 24, for example, a substrate can have a reinforcement that comprises substantially all of the volume of the substrate such that 15 the majority of the plastic is merely disposed near the surface of the substrate, e.g., as a thin film. In this embodiment, the core, which forms the majority of the substrate, can have a thickness up to about 2.5 mm, with a thickness of about 0.75 mm to about 2.0 mm preferred, and about 0.8 mm to about 1.2 mm especially preferred. As is illustrated, the thin plastic film can be disposed on one or both sides of the core (e.g., 20 metal, ceramic, glass, or the like). Typically a plastic film having a thickness of about 50 $\mu$  or less can be employed, with a thickness of about 20 $\mu$  or less preferred.

Regardless of whether the substrate is homogenous or non-homogenous, contains hollow or filled cavities, or reinforcement, its geometry as well as the geometry of the core/insert/reinforcement, can also be adjusted, interchangeably, to 25 address various of the design factors. Referring to Figures 8 to 35, various substrate and core/insert geometries, respectively, include substantially constant thickness, tapering on one or both sides, convex or concave on one or both sides, or combinations comprising at least one of the foregoing.



Adjusting the geometry of the substrate enables manipulation of the moment of inertia of the substrate when rotating, and control of the modal responses, i.e. the harmonics thereof. For example, various modal shapes (as shown in Figure 36) can be obtained and avoided, based upon sectional variations in density through planar and/or radial thickness. As stated above, the preferred design is a substrate having a first modal resonance frequency outside of the frequency range for which the storage media is designed.

Another manner of addressing the various design criteria for the storage media is addressing its use, such as its operating rotational speed which effects the speed in which data can be stored/retrieved. Conventionally, during use, storage media has been rotated at a constant speed. The media is brought to its operating rotational speed prior to any reading or writing. However, the storage media can be rotated at a varied speed where the speed increases during peak use periods while decreasing during normal use periods; or rotational speed can be varied in order to maintain constant linear velocity at different areas of the disk (e.g., inner vs. outer diameter). Such operating criteria will both conserve energy and potentially render some design criteria more important. Such criteria include, e.g., moment of inertia, modulus, density, viscoelasticity, thickness, and/or diameter to name a few. Storage media devices that change speeds make many of these criteria, e.g., moment of inertia and density, etc. of increased importance as compared to constant speed devices.

In theory, any plastic that exhibits appropriate properties and can be employed as the substrate, core, and/or coating. However, the plastic should be capable of withstanding the subsequent processing parameters (e.g., application of subsequent layers) such as sputtering (i.e. temperatures up to and exceeding about 200°C (typically up to or exceeding about 300°C) for magnetic media, and temperatures of about room temperature (about 25°C) up to about 150°C for magneto-optic media). That is, it is desirable for the plastic to have sufficient thermal stability to prevent deformation during the deposition steps. For magnetic media, appropriate plastics include thermoplastics with glass transition temperatures greater than about 150°C,

with greater than about 200°C preferred (e.g., polyetherimides, polyetheretherketones, polysulfones, polyethersulfones, polyetherethersulfones, polyphenylene ethers, polyimides, high heat polycarbonates, etc.); with materials having glass transition temperatures greater than about 250°C more preferred, such as polyetherimide in  
5 which sulfonedianiline or oxydianiline has been substituted for m-phenylenediamine, among others, as well as polyimides, such as Probimide (or the dry powder equivalent, Matrimid 5218, from Ciba Geigy Chemical); combinations comprising at least one of the foregoing, and others.

Additionally, it is possible for thermosets to be used in the application  
10 provided the thermoset possess sufficient flow under the stamping conditions to permit formation of the desired surface features. As various applications may require polymers with different glass transition temperatures, it may be advantageous to be able to adjust the glass transition temperature of a plastic (homopolymer, copolymer, or blend) to achieve a film with the desired glass transition temperature. To this end,  
15 polymer blends, such as those described in U.S. Patent No. 5,534,602 (to Lupinski and Cole, 1996), may be employed in the preparation of the coating solution. In this example, polymer blends provide, selectively, variable glass transition temperatures of about 190°C to about 320°C.

Some possible examples of plastics include, but are not limited to, amorphous,  
20 crystalline and semi-crystalline thermoplastic materials: polyvinyl chloride, polyolefins (including, but not limited to, linear and cyclic polyolefins and including polyethylene, chlorinated polyethylene, polypropylene, and the like), polyesters (including, but not limited to, polyethylene terephthalate, polybutylene terephthalate, polycyclohexylmethyleneterephthalate, and the like), polyamides, polysulfones  
25 (including, but not limited to, hydrogenated polysulfones, and the like), polyimides, polyether imides, polyether sulfones, polyphenylene sulfides, polyether ketones, polyether ether ketones, ABS resins, polystyrenes (including, but not limited to, hydrogenated polystyrenes, syndiotactic and atactic polystyrenes, polycyclohexyl ethylene, styrene-co-acrylonitrile, styrene-co-maleic anhydride, and the like),

polybutadiene, polyacrylates (including, but not limited to, polymethylmethacrylate, methyl methacrylate-polyimide copolymers, and the like), polyacrylonitrile, polyacetals, polycarbonates, polyphenylene ethers (including, but not limited to, those derived from 2,6-dimethylphenol and copolymers with 2,3,6-trimethylphenol, and the like), ethylene-vinyl acetate copolymers, polyvinyl acetate, liquid crystal polymers, ethylene-tetrafluoroethylene copolymer, aromatic polyesters, polyvinyl fluoride, polyvinylidene fluoride, polyvinylidene chloride, Teflons, as well as thermosetting resins such as epoxy, phenolic, alkyds, polyester, polyimide, polyurethane, mineral filled silicone, bis-maleimides, cyanate esters, vinyl, and benzocyclobutene resins, in addition to blends, copolymers, mixtures, reaction products and composites comprising at least one of the foregoing.

Filler/reinforcement/core materials can be any material compatible with the plastic and the ultimate environment in which the storage media will be employed, which can be secured within or to the plastic, or which the plastic can be coated over, to produce the desired surface quality in the area of data storage, and which provides the additional desired mechanical strength to the substrate. Possible materials include: glass (such as silica, low melt glasses, etc.), foams and other low density materials, carbon, metals (such as aluminum, tin, steel, platinum, titanium, metal matrices, others and combinations and alloys comprising at least one of the foregoing), organic and inorganic materials, ceramics (e.g. SiC, Al<sub>2</sub>O<sub>3</sub>, etc.) thermoplastics, thermosets, rubbers, among others and composites, alloys and combinations comprising at least one of these materials. These materials can be in the form of particles, bubbles, microspheres or other hollow fillers, fibers (long, short, continuous, chopped, etc.), mesh, woven, non-woven, preforms, inserts, plates, disks, others and combinations comprising at least one of the foregoing, of various sizes and geometries. For example, Figures 33 to 35 show a few of the possible core/insert geometries with the polymeric material comprising a thin (e.g., less than about 50  $\mu$ ) coating or thick (e.g., greater than about 50  $\mu$ ) coating over the core/insert. Glass, metal, metal matrix composites, and carbon cores are typically preferred for some

applications where elevated temperatures may be a factor, due to the reduced thermal decay of stiffness in substrates containing these materials.

The amount of filler employed is dependent upon the desired substrate mechanical properties and the fillers effects on the substrates' harmonics, surface quality, and inertial factors. The filler (particles, cavity, bubbles, core, inserts, etc.) can occupy up to as much as 99.9% or more of the volume (vol%) of the substrate, with about 5 vol% to about 50 vol% occupied by the filler more common, and about 85 vol% to about 99 vol% occupied by the filler preferred in some alternate embodiments.

Numerous methods can be employed to produce the storage media including injection molding, foaming processes, sputtering, plasma vapor deposition, vacuum deposition, electrodeposition, extrusion coating, spin coating, spray coating, meniscus coating, data stamping, embossing, surface polishing, fixturing, laminating, rotary molding, two shot molding, co-injection, over-molding of film, microcellular molding, as well as other techniques, and combinations comprising at least one of the foregoing. Preferably the technique employed enables in situ production of the substrate having the desired surface features, (e.g., servo-patterning (such as pits and grooves), bit patterning, edge features, protrusions, asperities (e.g., laser bumps, and the like),  $R_a$ , etc.).

One possible process comprises an injection molding-compression technique where a mold is filled with a molten plastic. The mold may contain a preform, inserts, fillers, etc. The plastic is cooled and, while still in an at least partially molten state, compressed to imprint the desired surface features (e.g., pits, grooves, edge features, smoothness, and the like), arranged in spiral concentric or other orientation, onto the desired portion(s) of the substrate, i.e. one or both sides in the desired areas. The substrate is then cooled to room temperature.

For optical or magnetic data storage on a substrate, information stored is often stored on the surface of the substrate. This information may be imprinted directly

onto the surface (as in the case of a CD), or stored in a photo- or magnetically-definable medium, which has been deposited onto the surface of substrate (e.g., "Winchester" hard disk drive). Due to the surface quality requirements of such systems, disks (metal, ceramic, glass, etc.) were coated with nickel phosphide (NiP),  
5 for example, and then polished to obtain the desired surface quality. However, polishing is an expensive and laborious process. Additionally, these substrates do not traditionally offer the capability of imprinting features onto the surface, even though such features, e.g., pits or grooves, may be desirable for use as geographic locators, such as a sector map. Typically these geographic locators have a depth of up to about  
10 30 nanometers (nm) or more, with about 20 nm to about 30 nm generally preferred.

In one embodiment, a metal, glass, ceramic, or other substrate to which a plastic layer has been applied exhibits both the desired mechanical properties and the ability to have surface features imprinted into the surface. The plastic layer can be deposited by a variety of techniques, including spin coating, vapor deposition (e.g.  
15 plasma enhanced chemical vapor deposition), electrodeposition coating, meniscus coating, spray coating, extrusion coating, and the like, and combinations comprising at least one of the foregoing.

Spin coating comprises preparing a solution of a plastic precursor (e.g., monomer or oligomer) or the plastic itself (where a solvent can be employed, or one  
20 of the monomers can act as the solvent). The disk to be coated is secured to a rotatable surface and a portion of plastic solution is dispensed near the center of the substrate. Alternately, a bead of the plastic solution is deposited in a ring like geometry, along the inner boundary of disk where the coating is to be located. The disk is then spun at a sufficient rate to, via centrifugal forces, spread the plastic  
25 solution across the surface of the disk. Finally, if applicable, the coating is dried and cured.

In order to improve adhesion of the coating to the substrate, optionally, an adhesion promoter, such as an organosilane or another conventional adhesion

promoter, can be used. Possible organosilanes include VM-651 and VM-652 commercially available from DuPont. If an adhesion promoter is employed, it is typically dissolved in a solvent, such as methanol, water, and combinations comprising at least one of the foregoing, and is applied to the disk prior to applying the plastic bead. Once the adhesion promoter is spin coated onto the disk, the plastic coating is applied as described above.

For example, a polyetherimide resin, such as Ultem® resin grade 1000, commercially available from GE Plastics, is dissolved in anisole/gamma-butyrolactone solvent system (15% Ultem® resin by weight (wt%)). A rigid substrate (metal, polymer, glass, or other), which is optionally polished, is placed on a rotatable device, commonly referred to as a spinner, and held in place via a mechanical device or a vacuum. An adhesion promoter, such as 5 ml of 0.05% solution VM651 (an adhesion promoter commercially available from DuPont) in water/methanol solution, is applied by dispensing it onto the spinning or stationary substrate. The substrate is then, preferably, spun to distribute the adhesion promoter, such as at a rate of about 2,000 rpm for about 30 seconds. If an adhesion promoter is employed, the substrate can optionally be rinsed, such as with methanol, to remove excess adhesion promoter, and dried (e.g., air dried, vacuum dried, heat dried, or the like), prior to the application of the plastic solution.

Once the rigid substrate has been prepared, plastic solution can be applied to the substrate around the inner diameter of the area to be coated, while optionally masking areas that are not to be coated. The substrate is spun to substantially uniformly spread the plastic solution across the substrate, forming a film. The thickness of the film is dependent upon various parameters, e.g., the quantity of plastic solution, the desired thickness, the viscosity of the plastic solution, the spin rate, the spin duration, plastic solution solids content, and environmental conditions (including temperature, humidity, atmosphere type (e.g. inert gas), and atmospheric pressure), among others. Although a thickness below about 0.1 microns ( $\mu$ ) can be attained, the film is preferably sufficiently thick to afford a planar surface over

undesirable surface imperfections in the substrate and to allow desired surface features (e.g., pits, grooves, etc.) to be placed onto the film. Typically, a thickness of about 0.5  $\mu$  or greater is generally preferred, with a thickness of up to about 50  $\mu$  possible, up to about 20  $\mu$  preferred, and about 0.5  $\mu$  to about 10  $\mu$  especially preferred for storage media type applications. Determination of a final thickness range will vary, in part, by the desired depth of any features to be placed onto the film as well as the surface imperfections on the rigid substrate that need to be masked by the film.

With respect to spin duration and rate, which must be sufficient to disperse the plastic solution across the substrate in the desired area, these parameters are chosen based on factors including, e.g., the plastic solution viscosity and solids content, and the desired coating thickness; all interdependent parameters. Typically, however, the spin rate is greater than about 1,000 revolutions per minute (rpm) for up to about 5 minutes or more, with greater than about 1,500 rpm for less than about 2.5 minutes preferred, and greater than about 1,800 rpm for less than about 1.5 minutes especially preferred. For example, a 3  $\mu$  thick coating can be applied using plastic solution containing 15 wt% Ultem® resin grade 1000 in anisole/gamma-butyrolactone solvent, and a spin rate of 2,000 rpm for a duration of 25 seconds.

Once the coating as been dispersed across the substrate, it can be cured, preferably in an inert atmosphere, such as nitrogen, for a sufficient period of time to remove the solvent and polymerize the polymer precursor (if necessary) and at a rate effective to obtain the desired surface quality. The coated substrate can be raised to the desired temperature at a rate such that the solvent removal doesn't have deleterious effects on the surface features. For example, the coated substrate can be heated to greater than about 200°C, with about 300°C or greater typically preferred, at a rate of up to about 10 degrees per minute (deg/min), with a rate of up to about 5 deg/min preferred, and a rate of less than about 3 deg/min especially preferred. Once the substrate has attained the desired temperature, it is maintained at that temperature for a sufficient period of time to remove the solvent and, if necessary, to polymerize

the polymer precursor, and is then cooled. Typically a period of up to several hours is employed, with less than 2 hours preferred, and a rate of minutes or portions thereof especially preferred. A substrate prepared in this manner, optionally with subsequent processing, can be used for data storage applications, such as magnetic hard drives.

5           Alternatively, the substrate (entire substrate or coating on the core) can be cured using microwave technology. Preferably, a variable frequency microwave curing system is employed. The substrate enters the microwave area where the sweep rate, power, bandwidth, and central frequency are adjusted for the particular substrate such that the microwave selectively heats the polymer without substantially effecting  
10       the core, if desired.

Other possible curing techniques include using ultraviolet light to initiate a crosslinking reaction, radiative heating (placing the sample in close proximity to a hot surface), contact heating (sample is in physical and therefore thermal contact with a hot fixture), rapid thermal annealing (employing a heat source such as a coil or the  
15       like, or a lamp such as quartz or the like, which is heated at a very rapid rate, such as greater than 10 degrees per second), inductive heating (e.g., with radio frequency), and the like, as well as combinations comprising at least one of the foregoing.

In conjunction with the above curing techniques, other processing methods may be employed to facilitate the curing process, to remove solvent, and/or to  
20       improve the quality of the product. Possible additional processing methods include: employing a vacuum, using stripping agents (e.g., inert gasses, inert volatile solvents, azeotropes, and the like), drying agents, and other conventional methods, as well as combinations comprising at least one of the foregoing.

Alternatively, the curing time can be based upon economies and the desired  
25       surface features (pits, grooves, asperities (e.g. laser bumps), edge features, and/or smoothness) can be disposed on the surface subsequent to curing. Following application, if applicable, the plastic film is cured (thermal, ultraviolet, etc.), and, optionally, the desired surface features are formed by photolithography (including,



but not limited to dry etch), laser ablation through direct write or wide exposure with the use of photomasks, hot or cold stamping, embossing, or other techniques.

Putting the surface features on the substrate by employing photolithography can be accomplished with any conventional photolithography technique, such as those  
5 which employ reactive ion etching, plasma, sputtering, and/or liquid chemicals or chemical vapors to etch the polymer coating. Conventional photolithographic techniques, e.g., nanoimprint lithography, used to prepare contact probe data storage devices are useful; however, care must be taken to retain sufficient plastic film depth within the surface feature to provide the desired planarity of the surface of the rigid  
10 substrate.

Generally, embossing is preferred since the substrate is either plastic or at least comprises a thin plastic film on the embossing surface. Not to be limited by theory, due to the rheology of the plastic material, not only can pits, grooves, and edge features be embossed into the substrate, but the desired surface quality can also  
15 be embossed (e.g., desired smoothness, roughness, microwaviness, and flatness). In a preferred embodiment, embossed bit-patterns and/or servo-patterns have a depth of about 10 nm to about 150 nm, preferably about 20 nm to about 50 nm. Depths shallower than about 10 nm can result in features that are not accurately recognized by the head device. Conversely, deeper features or features that vary outside the  
20 ranges can result in undesirable head-disk interactions.

Embossing, can be accomplished using conventional techniques. Alternatively, a unique embossing technique can be employed where a substrate, such as a disk having a plastic surface, is embossed by preheating a mold. The mold should be heated to a temperature that, in conjunction with the temperature of the  
25 substrate, is capable of embossing the desired surface features onto the plastic surface of the substrate. The mold temperature can be at, above, or below the glass transition (T<sub>g</sub>) temperature of the material to be embossed. If the temperature is above such glass transition temperature, it is preferred the mold temperature be within about 30°C

of the glass transition temperature of the material, with a temperature within about 15°C preferred, and a temperature within about 10°C especially preferred; with the mold being preheated to a temperature below the glass transition temperature of the material to be embossed even more preferred. In an especially preferred embodiment, 5 the mold is preferably heated to within a few degrees below the glass transition temperature for crystalline materials, and at a temperature within at least about 5°C, preferably within at least about 10°C or greater for amorphous materials.

In addition to heating the mold, the substrate is heated to a temperature greater than the glass transition temperature of the material to be embossed. The substrate is 10 heated to the material temperature required to facilitate replication of the geographic locators and/or other surface features on the substrate. Typically, the substrate is heated to about 5°C above the glass transition temperature or less for crystalline material, with greater than about 5°C common for amorphous materials.

Once the substrate has attained the desired temperature, it is placed in the 15 mold and pressure is applied. After placing the substrate in the mold the temperature thereof can be maintained, increased or decreased as necessary in order to optimize replication and enable substrate release from the mold while maintaining the integrity of the surface features. Typically in order to maintain the integrity of the surface features, the molded substrate is cooled to below the glass transition temperature prior 20 to removal from the mold.

By preheating to and maintaining the mold at a temperature below the glass transition temperature of the material, the time required for heat-up and cool-down of conventional embossing processes is significantly diminished, especially in relation to processing numerous substrates. For example, numerous substrates are heated to a 25 temperature above the glass transition temperature of the material to be embossed. Meanwhile the mold is heated to and maintained at a temperature below the glass transition temperature. A substrate is then placed in the mold and is embossed while the mold cools the substrate (due to the temperature differential). The substrate can

then be removed from the mold and the next substrate placed in the mold. It is not necessary to heat the substrate and the mold to above the glass transition temperature and then to cool the combination to below the glass transition temperature as is conventional. Conventional embossing techniques typically take about 6 to 12 hours  
5 to complete, while the above embossing techniques can be accomplished in minutes.

For example, an aluminum disk coated with polyetherimide (Ultem® resin grade 1010) is fixtured to a spindle and heated to about 780°F (415°C) in a furnace oven. An embossing mold having the desired surface feature negative is heated to about 205°C. Once the disk is at temperature it is loaded into the mold and, while  
10 cooling (due to the temperature of the mold) compressed under a time-pressure profile to emboss the surface features into the substrate surface. The embossed substrate is then removed from the mold.

By maintaining the mold below or slightly above the glass transition temperature and separately heating the substrate to greater than the glass transition  
15 temperature, the embossing cycle time can be reduced by orders of magnitude.

Once the substrate has been coated with polymer, and formed with the appropriate surface features, if desired various layers can then be applied to the substrate through one or more conventional techniques, e.g., sputtering, chemical vapor deposition, plasma-enhanced chemical vapor deposition, reactive sputtering,  
20 evaporation, and the like. For example, in some cases, high areal density storage media might have pits and grooves on the polymer substrate that can be solely geographic locators; i.e. they are not required to store data therein. The data is stored in data storage layer(s). Furthermore, the data stored in the data storage layer(s) may be changed (rewritten) by repeating the impinging step at higher densities than  
25 conventional, i.e. "low" density compact disks.

The layers applied to the substrate may include one or more data storage layer(s) (e.g., magnetic, magneto-optic, etc.), protective layer(s), dielectric layer(s), insulating layer(s), combinations thereof and others. The data storage layer(s) may

comprise any material capable of storing retrievable data, such as an optical layer, magnetic layer, or more preferably a magneto-optic layer, having a thickness of up to about 600Å, with a thickness up to about 300Å preferred. Possible data storage layers include, but are not limited to, oxides (such as silicone oxide), rare earth element –  
5 transition metal alloy, nickel, cobalt, chromium, tantalum, platinum, terbium, gadolinium, iron, boron, others, and alloys and combinations comprising at least one of the foregoing, organic dye (e.g., cyanine or phthalocyanine type dyes), and inorganic phase change compounds (e.g., TeSeSn or InAgSb). Preferably, the data layer has a coercivity of at least about 1,500 oersted, with a coercivity of about 3,000  
10 oersted or greater especially preferred.

The protective layer(s), which protect against dust, oils, and other contaminants, can have a thickness of greater than 100 μ to less than about 10Å, with a thickness of about 300Å or less preferred in some embodiments. In another embodiment, a thickness of about 100 Å or less is especially preferred. The thickness  
15 of the protective layer(s) is usually determined, at least in part, by the type of read/write mechanism employed, e.g., magnetic, optic, or magneto-optic.

Possible protective layers include anti-corrosive materials such as nitrides (e.g., silicon nitrides and aluminum nitrides, among others), carbides (e.g., silicon carbide and others), oxides (e.g., silicon dioxide and others), polymeric materials  
20 (e.g., polyacrylates or polycarbonates), carbon film (diamond, diamond-like carbon, etc.) among others, and combinations comprising at least one of the foregoing.

The dielectric layer(s) which are often employed as heat controllers, can typically have a thickness of up to or exceeding about 1,000Å and as low as about 200Å. Possible dielectric layers include nitrides (e.g., silicon nitride, aluminum  
25 nitride, and others); oxides (e.g., aluminum oxide); carbides (e.g., silicon carbide); and combinations comprising at least one of the foregoing, among other materials compatible within the environment and preferably, not reactive with the surrounding layers.

The reflective layer(s) should have a sufficient thickness to reflect a sufficient amount of energy to enable data retrieval. Typically the reflective layer(s) can have a thickness of up to about 700Å, with a thickness of about 300Å to about 600Å generally preferred. Possible reflective layers include any material capable of reflecting the particular energy field, including metals (e.g., aluminum, silver, gold, titanium, and alloys and mixtures comprising at least one of the foregoing, and others). In addition to the data storage layer(s), dielectric layer(s), protective layer(s) and reflective layer(s), other layers can be employed such as lubrication layer and others. Useful lubricants include fluoro compounds, especially fluoro oils and greases, and the like.

One unexpected result of the storage media described herein that contain a rigid substrate, e.g., an aluminum substrate, with a plastic resin embossed with surface features, was retention of head slap performance as compared to conventional storage media. Conventional aluminum media are typically coated, e.g., with nickel phosphide, to improve the surface hardness for polishing and to resist damage to the polished surface by contact with the head. Plastic resins are generally softer than the aluminum surface coating and would be expected to limit the head slap resistance of the storage media; however, storage media described herein containing plastic films unexpectedly exhibited no observed diminished slap resistance. This unexpected result is believed to depend somewhat on the thickness of the plastic film and plastic films having higher thickness are expected to have diminished head slap resistance. Thus, in an especially preferred embodiment, the head slap resistance of a coated aluminum substrate having a plastic film, preferably containing surface features, is substantially equivalent to the head slap resistance of the coated aluminum substrate not containing the plastic film. Similar head slap results can be obtained with other rigid substrates such as glass.

The storage media described herein can be employed in conventional optic, magneto-optic, and magnetic systems, as well as in advanced systems requiring higher quality storage media and/or areal density. During use, the storage media is

disposed in relation to a read/write device such that energy (magnetic, light, a combination thereof or another) contacts the data storage layer, in the form of an energy field incident on the storage media. The energy field contacts the layer(s) disposed on the storage media prior to (if ever) contacting the substrate. The energy  
5 field causes some physical or chemical change in the storage media so as to record the incidence of the energy at that point on the layer. For example, an incident magnetic field might change the orientation of magnetic domains within the layer, or an incident light beam could cause a phase transformation where the light heats the material.

10 For example, referring to Figure 2, in a magneto-optic system 100, data retrieval comprises contacting the data storage layer(s) 102 with a polarized light 110 (white light, laser light, or other) incident on such layer(s). A reflective layer 106, disposed between the data storage layer 102 and substrate 108, reflects the light back through the data storage layer 102, the protective layer 104, and to the read/write  
15 device 112 where the data is retrieved.

In another embodiment, referring to Figure 3, the read/write device 112 detects the polarity of magnetic domains in the disk storage layer 102' (i.e. data is read). To write data onto the storage media, a magnetic field is imposed onto the data storage layer 102' by the read/write device 112. The magnetic field passes from the  
20 read/write device 112', through the lubrication layer 105, and the protective layer 104 to the magnetic layer 102', forming magnetic domains aligned in either of two directions and thereby defining digital data bits.

The following examples are provided to further illustrate the present invention and are not intended to limit the scope thereof.

## 25 EXAMPLES

### Example 1:

A substrate with outer diameter of 130 mm and thickness of 1.2 mm was formed out of a polyetherimide resin (Ultem® resin grade 1010 obtained from GE Plastics) using injection molding under standard conditions known in the art. The surface smoothness of the substrate was less than  $10 \text{ \AA } R_a$ , and the first modal  
5 frequency was about 175 Hz. At this frequency, the axial displacement was about 0.889 mm. The benefits compared to the prior art (Comparative Example #1) are clear.

Example 2:

A substrate with dimensions of 130 mm diameter and 1.2 mm thickness can  
10 be produced by injection molding of polycarbonate filled with 20 wt% carbon fiber. The material will exhibit a flexural modulus of 1.6 million psi, a mechanical damping coefficient of 0.015, and specific gravity of 1.29 g/cc. The storage media will demonstrate a maximum axial displacement of 0.32 mm during vibrational excitation, and a first modal frequency of 302 Hz.

15 Example 3:

A substrate with outer diameter of 95 mm and thickness of 2 mm was formed with a core of polyphenylene ether/polystyrene (PPE/PS) containing 20 wt% ceramic microfibers and a skin of PPE/PS (40/60) by co-injection molding. The microfibers, with average dimensions on the order of 10-20  $\mu$  length x 0.3-0.6  $\mu$  diameter) were  
20 significantly smaller than conventional carbon fibers. The surface smoothness of the substrate was improved by approximately a factor of 2 compared to the conventional carbon fiber co-injected disk and the first modal frequency was about 425 Hz. At this frequency, the axial displacement was about 0.15 mm. The benefits compared to the prior art (Comparative Examples #1) are clear.

25 Example 4:

A substrate with outer diameter of 130 mm and thickness of 1.2 mm was formed with a core of 20 wt% carbon fiber-filled polycarbonate and a skin of pure polycarbonate using co-injection molding with a thickness ratio of about 1 unit of core to 1 unit of skin under standard conditions known in the art. The surface  
5 smoothness of the substrate was about  $10 \text{ \AA } R_a$  and the first modal frequency was about 210 Hz. At this frequency, the axial displacement was about 1.27 mm; however, the displacement and frequency can be changed with changes in the core to skin ratio. The benefits compared to the prior art (Comparative Example #1) are clear.

10 Example 5:

A substrate with outer diameter of 120 mm and thickness of 0.9 mm is formed out of polycarbonate containing 30 wt% carbon fiber, 21 wt% poly(styrene-isoprene), and 3.5 wt% poly(styrene-maleic anhydride) (all based upon the total weight of the composition) using injection molding using standard conditions known in the art.  
15 The first modal frequency is about 292 Hz. At this frequency, the axial displacement is about 0.069 mm. The benefits compared to the prior art (Comparative Examples #1 and #2) are clear.

Example 6:

A substrate with outer diameter of 95 mm and thickness of 2 mm is formed  
20 with a core of polycarbonate containing 30 wt% carbon fiber, 21 wt% poly(styrene-isoprene) vibration dampening filler, and 3.5 wt% poly(styrene-maleic anhydride) and skin of polycarbonate (all based upon the total weight of the composition) using co-injection molding under standard conditions known in the art. The core comprises about 50% of the thickness of the disk. The surface smoothness of the substrate is  
25 about 1.3 nm  $R_a$  and the first modal frequency is about 450 Hz. At this frequency, the axial displacement is about 0.033 mm. The benefits compared to the prior art (Comparative Examples #1 and #2) are clear.



## Example 7:

A substrate with outer diameter of 130 mm and thickness of 1.2 mm is formed with a core of polycarbonate containing 20 wt% carbon fiber and 10 wt% poly(styrene-isoprene) (all based upon the total weight of the composition) and a skin of polycarbonate by injection molding the filled material in a mold that contains polycarbonate film on one or both sides of the mold. The surface smoothness of the substrate is about 1 nm  $R_a$  and the first modal frequency is about 250 Hz. At this frequency, the axial displacement is about 0.20 mm. The benefits compared to the prior art (Comparative Examples #1 and #2) are clear.

## 10 Example 8:

A substrate with outer diameter of 130 mm and thickness of 1.2 mm is formed with a core of polycarbonate containing 30 wt% carbon fiber in a mold that contains polycarbonate film on one or both sides of the mold. The surface smoothness of the substrate is about 1 nm  $R_a$  and the first modal frequency is about 300 Hz. At this frequency, the axial displacement is about 0.40 mm. The benefits compared to the prior art (Comparative Example #1) are clear.

## Example 9:

A thin layer (5  $\mu$ ) of polyetherimide was electrochemically deposited onto one or both sides of an aluminum substrate. Subsequently, geographic locators (pits) were formed into the surface of the polyetherimide film using a hot stamping technique. This substrate was superior to a traditional aluminum substrate (Comparative Example #2) in that it contains the desirable pit structure of geographic locators and other surface features formed through embossing.

## Example 10:

25 A thin layer of polyetherimide (5 $\mu$ ) was deposited by spin coating a polymer containing solution onto one side of an aluminum substrate. This substrate was

superior to a traditional aluminum substrate (Comparative Example #2) in that the final surface was smooth enough (less than  $10 \text{ \AA } R_a$  and total surface flatness less than  $8\mu$ ) for use in magnetic data storage applications, but the substrate did not have to undergo the plating and polishing steps used in the preparation of conventional metal or ceramic substrates. Upon deposition of a sputtered magnetic data layer, magnetic  
5 coercivity greater than 2,500 oersted was achieved.

Example 11:

A thin layer of polyetherimide ( $5\mu$ ) was deposited by spin coating a polymer containing solution onto one side of a glass substrate. The final surface had less than  
10  $10 \text{ \AA } R_a$  and total surface flatness less than  $8\mu$ . Upon deposition of a sputtered magnetic data layer, magnetic coercivity greater than 3,000 oersted was achieved. The coated substrate showed no damage after a standard 800 G head slap test.

Example 12:

A thin layer of polyetherimide can be deposited by spin coating a polymer  
15 containing solution onto one or both sides of an aluminum-boron carbide rigid substrate. This substrate would be superior to a traditional aluminum substrate (Comparative Example #2) in that the material would have a significantly higher specific modulus. It would be superior to aluminum-boron carbide substrates without a coating in that the coating makes the surface smooth enough for use in magnetic  
20 data storage applications. (Using conventional means, such as polishing, it is difficult, if not impossible, to achieve adequate surface smoothness.)

Example 13:

A thin layer of polyetherimide was deposited onto one side of an aluminum substrate by spin coating a polymer-containing solution onto the surface(s) and  
25 curing. Subsequently, surface features were formed into the surface using an embossing technique, e.g., a hot stamping. This substrate was superior to a traditional

aluminum substrate (Comparative Example #2) in that it contained the desirable pit structure of geographic locators and the desired surface quality; e.g., less than  $10\text{\AA}$   $R_a$ .

Example 14:

5 A substrate with outer diameter of 130 mm and thickness of 1.2 mm and containing microporosity can be formed out of polycarbonate (Lexan® resin grade OQ1030L obtained from GE Plastics) using the Mucell microcellular injection molding process. The substrate would show 20% lower moment of inertia and higher modal frequency compared to Comparative Example #1.

Example 15:

10 A substrate with outer diameter of 130 mm and thickness of 1.2 mm and containing a microporous and smooth polycarbonate skin can be formed out of polycarbonate (Lexan® resin grade OQ1030L obtained from GE Plastics) using the Mucell microcellular injection molding process in a mold that contains polycarbonate film on one or both sides of the mold. The substrate would demonstrate the same  
15 benefits of reduced moment of inertia and higher modal frequency of Example #13 with reduced surface roughness.

Example 16:

A substrate with outer diameter of 130 mm and thickness of 1.2 mm was formed out of polyetherimide (Ultem® resin grade 1010 obtained from GE Plastics)  
20 filled with about 60 wt% of a low melting (less than 400°C) glass filler (Corning Cortem) using injection molding under standard conditions known in the art. The first modal frequency was about 210 Hz. At this frequency, the axial displacement was about 0.723 mm. The benefits compared to the prior art (Comparative Example #1) are clear.

25 Example 17:

A substrate with outer diameter of 120 mm and thickness of 1.2 mm was formed out of styrene-acrylonitrile copolymer (SAN CTS100 obtained from GE Plastics) using injection molding under standard conditions known in the art. The substrate showed reduced moment of inertia, improved flatness, and higher modal frequency compared to Comparative Example #1.

Example 18:

A substrate with outer diameter of 120 mm and thickness of 1.2 mm was formed out of a 60/40 weight percent blend of poly(phenylene ether) resin and polystyrene using injection molding. The substrate showed reduced moment of inertia, improved flatness, and higher modal frequency compared to Comparative Example 1.

Example 19:

A substrate with outer diameter of 120 mm and thickness of 1.2 mm can be formed out of a 45/30/25 weight percent blend of poly(phenylene ether) resin, polystyrene, and polystyrene-co-(acrylonitrile) using injection molding. The substrate will show reduced moment of inertia, improved flatness, and higher modal frequency compared to Comparative Example #1.

Example 20:

A substrate with outer diameter of 120 mm and thickness of 1.2 mm out of a 60/40 wt% blend of poly(phenylene ether) resin with polystyrene and containing 20 wt% of zinc sulfide particulate filler (all weights based on the weight of the entire composition) using injection molding. The substrate showed reduced moment of inertia, improved flatness, and higher modal frequency compared to Comparative Example #1.

Example 21:

A substrate with outer diameter of 120 mm and thickness of 1.2 mm was formed out of a 60/40 weight percent blend of poly(phenylene ether) resin with polystyrene and containing 20 wt% of clay particulate filler (all weights based on the weight of the entire composition) using injection molding. The substrate showed  
5 reduced moment of inertia, improved flatness, and higher modal frequency compared to Comparative Example #1.

Example 22:

A substrate with outer diameter of 120 mm and thickness of 1.2 mm can be formed out of a 60/40 weight percent blend of poly(phenylene ether) resin with  
10 polystyrene and containing 20 wt% of zinc sulfide particulate filler (all weights based on the weight of the entire composition) using injection molding in a mold containing a "managed heat transfer" insulating layer, as described in U.S. Patent No. 5,458,818. The substrate would show reduced moment of inertia, improved flatness, and higher modal frequency compared to Comparative Example #1 and improved replication of  
15 the mold surface compared to Example #20.

Example 23:

A substrate can be prepared as in Example #15. The substrate was held using a clamping device that contained a viscoelastic washer (e.g., elastomer) between the mount and the substrate. The structure showed reduced axial displacement (0.475  
20 mm vs. 0.723 mm) at the first modal frequency compared to the same substrate when clamped using a device not containing the elastomeric washer.

Comparative Examples

Comparative example 1:

A substrate with outer diameter of 130 mm and thickness of 1.2 mm was  
25 formed out of a polycarbonate resin (Lexan® resin grade OQ1030L obtained from GE Plastics) using injection molding. The surface smoothness of the substrate was

less than about  $10 \text{ \AA } R_a$  and the first modal frequency was about 150 Hz. At this frequency, the axial displacement was about 1.40 mm.

Comparative example 2:

5 A substrate with outer diameter of 130 mm and thickness of 1.2 mm was formed out of aluminum by punching the disk from an aluminum sheet, plating with nickel-phosphide, and polishing to achieve the desired surface roughness (less than  $10 \text{ \AA } R_a$ ). The first modal frequency was about 500 Hz. At this frequency, the axial displacement was about 0.075 mm.

10 It should be clear from the examples and teachings provided herein that novel and/or enhanced storage media for data have been invented. In some embodiments, optical, magnetic, and/or magneto-optical media that are at least in part made from plastic and having a high storage capability, e.g., areal density greater than about 5 Gbits/in<sup>2</sup>, can be designed. In other embodiments, storage media were unexpectedly provided having very desirable properties, including at least one of, e.g., surface  
15 roughness of less than about  $10 \text{ \AA } R_a$ , low microwaviness, edge-lift less than about 8  $\mu$ , mechanical damping coefficient greater than about 0.04 at a temperature of 24°C, a resonant frequency of greater than about 250 Hz, an axial displacement peak of less than about 500  $\mu$  under shock or vibration excitation, a data layer with a coercivity of at least about 1,500 oersted, and a Youngs modulus of at least about 7 GPa.

20 Some of the storage media described herein contain a rigid core with a spin coated, spray coated, electrodeposited, or combination thereof, plastic film or layer on one or both sides. The plastic may be a thermoplastic, thermoset, or mixture thereof. In additional embodiments, the storage media has surface features (e.g., pits, grooves, edge features, asperities (e.g., laser bumps, and the like), roughness, microwaviness,  
25 and the like) placed into the plastic (film, layer, core, substrate) preferably using an embossing technique (i.e., the substrate can be physically patterned). A further advantage of a physically patterned substrate is the elimination of the need for servo-patterning (pits, grooves, etc.) of the data layer. This can eliminate the time

consuming process of servo-patterning the data layer; typically a several hour process. In addition, since the data layer can be wholly or substantially free of servo-patterning, the area of the data layer available for data storage is increased.

Unexpected results were also obtained in relation to further processing and  
5 mechanical properties. As is discussed above, the plastic withstood the deposition of additional layers (e.g., data layer(s), reflective layer(s), protective layer(s), etc.) using techniques such as sputtering at elevated temperatures, often at temperatures in excess of the glass transition temperature of the plastic. Also, the hybrid storage media containing a rigid substrate having a plastic film or layer attached thereto retained  
10 head slap performance as compared to conventional storage media. These illustrative embodiments and results should be apparent to those of ordinary skill in the art from the description and examples provided herein.

Unlike prior art storage media, this storage media employs a substrate having at least a portion thereof plastic (e.g., at least a thin plastic film) to attain the desired  
15 mechanical properties and surface features. Due to the use of the plastic, in situ formation of the substrate with the desired surface features is possible. Furthermore, surface features, including roughness, etc., can be embossed directly into the substrate surface, rendering production of this storage media cost effective.

## WHAT IS CLAIMED IS:

1. A storage media for data, said media comprising:
  - i. a substrate comprising at least one plastic resin portion; and
  - ii. at least one data layer on said substrate;

wherein said data layer can be at least partly read from, written to, or a  
5 combination thereof by at least one energy field; and

wherein, when the energy field contacts the storage media said energy field is incident upon said data layer before it could be incident upon said substrate.

2. The storage media as in Claim 1, wherein said energy field comprises at least one of an electric field, a magnetic field, and an optical field.

3. The storage media as in Claim 1, wherein said substrate has an areal density greater than about 6 Gbits/in<sup>2</sup>.

4. The storage media as in Claim 3, wherein said substrate has an areal density greater than about 10 Gbits/in<sup>2</sup>.

5. The storage media as in Claim 4, wherein said substrate has an areal density greater than about 25 Gbits/in<sup>2</sup>.

6. The storage media as in Claim 1, further comprising surface features selected from the group consisting of pits, grooves, edge features, asperities, and combinations comprising at least one of the foregoing.

7. The storage media as in Claim 6, wherein said surface features have a replication of greater than about 90% replication of an original master.

8. The storage media as in Claim 1, wherein said substrate has an edge-lift height of less than about 8 microns.



9. The storage media as in Claim 8, wherein said substrate has an edge-lift height of less than about 5 microns.
10. The storage media as in Claim 9, wherein said substrate has an edge-lift height of less than about 3 microns.
11. The storage media as in Claim 8, wherein said substrate has a surface roughness of less than about  $10 \text{ \AA } R_a$ .
12. The storage media as in Claim 8, wherein said substrate has a mechanical damping coefficient of greater than about 0.04 at a temperature of  $24^\circ\text{C}$ .
13. The storage media as in Claim 12, wherein said substrate has a mechanical damping coefficient of greater than about 0.06 at a temperature of  $24^\circ\text{C}$ .
14. The storage media as in Claim 8, wherein said substrate has a moment of inertia of no more than about  $5.5 \times 10^{-3} \text{ slug-in}^2$ .
15. The storage media as in Claim 8, wherein said substrate has a radial tilt and tangential tilt independently of about  $1^\circ$  each or less.
16. The storage media as in Claim 8, wherein the moisture content of said substrate varies less than about 0.5% at equilibrium under test conditions of  $80^\circ\text{C}$  at 85% relative humidity after 4 weeks.
17. The storage media as in Claim 8, wherein said substrate has a specific gravity of less than about 1.0.
18. The storage media as in Claim 1, wherein said substrate has an axial displacement peak of less than about  $500 \text{ }\mu$  under shock or vibration excitation.
19. The storage media as in Claim 18, wherein said substrate has an axial displacement peak of less than about  $150 \text{ }\mu$ .

20. The storage media as in Claim 18, wherein said substrate has edge-lift height of less than about 8 microns.
21. The storage media as in Claim 20, wherein said substrate has edge-lift height of less than about 5 microns.
22. The storage media as in Claim 21, wherein said substrate has edge-lift height of less than about 3 microns.
23. The storage media as in Claim 18, wherein said substrate has a surface roughness of less than about  $10 \text{ \AA } R_a$ .
24. The storage media as in Claim 18, wherein said substrate has a mechanical damping coefficient of greater than about 0.04 at a temperature of  $24^\circ\text{C}$ .
25. The storage media as in Claim 24, wherein said substrate has a mechanical damping coefficient of greater than about 0.06 at a temperature of  $24^\circ\text{C}$ .
26. The storage media as in Claim 18, wherein said substrate has a moment of inertia of no more than about  $5.5 \times 10^{-3} \text{ slug-in}^2$ .
27. The storage media as in Claim 1, wherein said substrate has a moment of inertia of about  $5.5 \times 10^{-3} \text{ slug-in}^2$  or less.
28. The storage media as in Claim 27, wherein said substrate has a moment of inertia of about  $4.5 \times 10^{-3} \text{ slug-in}^2$  or less.
29. The storage media as in Claim 28, wherein said substrate has a moment of inertia of about  $4.0 \times 10^{-3} \text{ slug-in}^2$  or less.
30. The storage media as in Claim 27, wherein said substrate has a surface roughness of less than about  $10 \text{ \AA } R_a$ .

31. The storage media as in Claim 27, wherein said substrate has a mechanical damping coefficient of greater than about 0.04 at a temperature of 24°C.
32. The storage media as in Claim 1, wherein said substrate has a radial tilt of about 1° or less.
33. The storage media as in Claim 32, wherein said substrate has a radial tilt of about 0.3° or less.
34. The storage media as in Claim 33, wherein said substrate has a moment of inertia of no more than about  $5.5 \times 10^{-3}$  slug-in<sup>2</sup>.
35. The storage media as in Claim 1, wherein said substrate has a tangential tilt of about 1° or less.
36. The storage media as in Claim 35, wherein said substrate has a tangential tilt of about 0.3° or less.
37. The storage media as in Claim 1, wherein moisture content of said substrate varies less than about 0.5% at equilibrium under test conditions of 80°C at 85% relative humidity after 4 weeks.
38. The storage media as in Claim 37, wherein said substrate's moisture content varies less than about 0.3% at equilibrium under test conditions of 80°C at 85% relative humidity after 4 weeks.
39. The storage media as in Claim 1, wherein said substrate has a surface roughness of less than about  $10 \text{ \AA } R_a$ .
40. The storage media as in Claim 39, wherein said substrate has a surface roughness of less than about  $5 \text{ \AA } R_a$ .
41. The storage media as in Claim 1, wherein said substrate has a mechanical damping coefficient of greater than about 0.04 at a temperature of 24°C.

42. The storage media as in Claim 41, wherein said substrate has a mechanical damping coefficient of greater than about 0.06 at a temperature of 24°C.
43. The storage media as in Claim 1, wherein said substrate has a resonant frequency of greater than about 250 Hz.
44. The storage media as in Claim 43, wherein said substrate has a surface roughness of less than about 10 Å  $R_a$ .
45. The storage media as in Claim 1, wherein said substrate has a specific gravity of less than about 1.3.
46. The storage media as in Claim 45, wherein said substrate has a specific gravity of less than about 1.2.
47. The storage media as in Claim 46, wherein said substrate has a specific gravity of less than about 1.0.
48. The storage media as in Claim 1, wherein said substrate comprises an amorphous, crystalline, or semi-crystalline material, a composite, or a blend or combination comprising at least one of the foregoing.
49. The storage media as in Claim 48, wherein said substrate further comprises metal.
50. The storage media as in Claim 49, wherein said substrate comprises a metal core with a plastic film disposed on at least a portion of at least one side of said core.

51. The storage media as in Claim 1, wherein said plastic resin is at least one resin selected from the group consisting of thermosets, polyvinyl chloride, polyolefins, polyesters, polyimides, polyamides, polysulfones, polyether imides, polyether sulfones, polyphenylene sulfides, polyether ketones, polyether ether ketones, ABS resins, polystyrenes, polybutadiene, polyacrylates, polyacrylonitrile, polyacetals, polycarbonates, polyphenylene ethers, ethylene-vinyl acetate, polyvinyl acetate, liquid crystal polymers, ethylene-tetrafluoroethylene, aromatic polyesters, polyvinyl fluoride, polyvinylidene fluoride, polyvinylidene chloride, Teflons, and blends, copolymers, mixtures, and composites comprising at least one of the foregoing resins.

52. The storage media as in Claim 1, wherein said plastic resin comprises a resin selected from the group consisting of partially hydrogenated polystyrene, a poly(cyclohexyl ethylene), poly(styrene-co-acrylonitrile), poly(styrene-co-maleic anhydride), and a blends, and copolymers comprising at least one of the foregoing resins.

53. The storage media of Claim 1, wherein said plastic resin comprises at least one material selected from the group consisting of vulcanized rubbers, acrylic rubbers, silicone rubbers, polybutadiene rubbers, polyisobutylene rubbers, polyether rubbers, isobutylene-isoprene copolymers, isocyanate rubber, nitrile rubbers, chloroprene rubbers, chlorosulfonated polyethylene, polysulfide rubbers, fluorine rubber, block copolymers, thermoplastic elastomeric materials, polyurethanes, and combinations comprising at least one of the foregoing.

54. The storage media as in Claim 1, wherein said substrate further comprises a material selected from the group consisting of metal, glass, ceramic, or an alloy, composite, or combination comprising at least one of the foregoing.

55. The storage media as in Claim 1, wherein said substrate further comprises reinforcement.

56. The storage media as in Claim 55, wherein said reinforcement comprises fibers, whiskers, fibrils, particulate, nanotubes, or a combination comprising at least one of the foregoing.

57. The storage media as in Claim 55, wherein said reinforcement is metal, mineral, plastic, ceramic, glass, or a combination comprising at least one of the foregoing.

58. The storage media as in Claim 1, wherein said substrate has a thickness, said substrate thickness being substantially constant.

59. The storage media as in Claim 1, wherein said substrate has a thickness, said substrate thickness being varied.

60. The storage media as in Claim 1, wherein said substrate has a thickness geometry, said substrate thickness geometry being concave, convex, tapered, or a combination comprising at least one of the foregoing.

61. The storage media as in Claim 1, wherein said substrate has an outer diameter and further comprises a core.

62. The storage media as in Claim 61, wherein said core has a thickness, said core thickness being substantially constant.

63. The storage media as in Claim 61, wherein said core has a thickness, said core thickness being varied.

64. The storage media as in Claim 61, wherein said core has a geometry, said core geometry being concave, convex, tapered, or a combination comprising at least one of the foregoing geometries.

65. The storage media as in Claim 61, wherein said core has an outer diameter, said core outer diameter being substantially equal to said substrate outer diameter.

66. The storage media as in Claim 61, wherein said core has a geometry, said geometry being at least one radial arm, at least one ring, star-like or a combination comprising at least one of the foregoing geometries.

67. The storage media as in Claim 61, wherein said core comprises at least one hollow cavity.

68. The storage media as in Claim 61, wherein said core comprises at least one filled cavity.

69. The storage media as in Claim 61, wherein said core comprises multiple portions.

70. The storage media as in Claim 69, wherein said multiple portions comprise different materials.

71. The storage media as in Claim 61, wherein said core is preformed.

72. The storage media as in Claim 61, wherein said core is formed in situ with said substrate.

73. The storage media as in Claim 1, wherein said substrate further comprises at least one insert.

74. The storage media as in Claim 73, wherein said insert comprises a plurality of portions attached to said substrate on a surface of said substrate opposite said data layer.

75. The storage media as in Claim 73, wherein said insert comprises a single member having a substantially uniform thickness, said insert attached to said substrate on a surface of said substrate opposite said data layer.

76. The storage media as in Claim 1, wherein said substrate has a first modal frequency greater than an operating frequency.

77. The storage media as in Claim 1, wherein said substrate has only one modal frequency less than said operating frequency.

78. The storage media as in Claim 1, wherein said substrate has a second operating frequency and a first modal frequency greater than a first operating frequency.

79. The storage media as in Claim 1, wherein said plastic resin portion is a film disposed on at least a portion of a core.

80. The storage media as in Claim 79, wherein said core is selected from the group consisting of metal, ceramic, glass, and combinations comprising at least one of the foregoing.

81. The storage media as in Claim 80, wherein said metal is aluminum.

82. The storage media as in Claim 79, wherein said film has a thickness about 50 $\mu$  or less.

83. The storage media as in Claim 82, wherein said thickness is about 20 $\mu$  or less.

84. The storage media as in Claim 1, wherein said plastic resin portion is a film having a thickness of about 50 $\mu$  or less.

85. The storage media as in Claim 84, wherein said thickness is about 20 $\mu$  or less.



86. A method to manufacture the substrate of Claim 1, wherein said method comprises at least one method selected from the group consisting of injection molding, foaming processes, injection-compression, sputtering, plasma vapor deposition, vacuum deposition, electrodeposition, spin coating, spray coating, meniscus coating, data stamping, embossing, surface polishing, fixturing, laminating, rotary molding, two shot molding, microcellular molding, and combinations comprising at least one of the foregoing methods.

87. The method of Claim 86, wherein said method consists essentially of injection molding.

88. The method of Claim 86, wherein said method consists essentially of injection molding-compression molding.

89. The method of Claim 86, wherein said method comprises in situ production of the substrate having the desired pits and grooves, and having greater than about 90% of the pit/groove replication of an original master.

90. A method for retrieving data, comprising:

rotating a storage media having a substrate comprising at least one plastic resin portion and at least one data layer disposed on at least one surface of said substrate;

directing an energy field at said storage media such that said energy field is incident upon the data layer before it can be incident upon the substrate; and

retrieving information from the data layer via said energy field.

91. The method for retrieving data as in Claim 90, further comprising passing at least a portion of said energy field through the data layer, and reflecting said portion of said energy field back through the data layer.

92. The method for retrieving data as in Claim 90, wherein said storage media is rotated at a variable speed.

93. The method for retrieving data as in Claim 90, wherein said energy field is incident upon the data storage layer without being incident upon the substrate.

94. The method for retrieving data as in Claim 90, wherein said substrate has an areal density greater than about 6 Gbits/in<sup>2</sup>.

95. The method for retrieving data as in Claim 94, wherein said substrate has an areal density greater than about 10 Gbits/in<sup>2</sup>.

96. The method for retrieving data as in Claim 95, wherein said substrate has an areal density greater than about 25 Gbits/in<sup>2</sup>.

97. The method for retrieving data as in Claim 90, further comprising surface features disposed on at least one surface of said substrate, between said substrate and said data layer.

98. The method for retrieving data as in Claim 97, wherein said surface features are selected from the group consisting of pits, grooves, edge features, asperities, and a combination comprising at least one of the foregoing.

99. The method for retrieving data as in Claim 97, wherein said surface features have a replication of greater than about 90% replication of an original master.

100. The method for retrieving data as in Claim 90, wherein said substrate has an edge-lift height of less than about 8 microns.

101. The method for retrieving data as in Claim 100, wherein said substrate has an edge-lift height of less than about 5 microns.

102. The method for retrieving data as in Claim 101, wherein said substrate has an edge-lift height of less than about 3 microns.

103. The method for retrieving data as in Claim 100, wherein said substrate has a mechanical damping coefficient of greater than about 0.04 at a temperature of 24°C.

104. The method for retrieving data as in Claim 103, wherein said substrate has a mechanical damping coefficient of greater than about 0.06 at a temperature of 24°C.

105. The method for retrieving data as in Claim 90, wherein said substrate has an axial displacement of less than about 500  $\mu$  under shock or vibration excitation.

106. The method for retrieving data as in Claim 105, wherein said substrate has an axial displacement of less than about 150  $\mu$ .

107. The method for retrieving data as in Claim 106, wherein said substrate has an edge-lift height of less than about 8  $\mu$ .

108. The method for retrieving data as in Claim 105, wherein said substrate has a mechanical damping coefficient of greater than about 0.04 at a temperature of 24°C.

109. The method for retrieving data as in Claim 108, wherein said substrate has a mechanical damping coefficient of greater than about 0.06 at a temperature of 24°C.

110. The method for retrieving data as in Claim 90, wherein said substrate has a moment of inertia of no more than about  $5.5 \times 10^{-3}$  slug-in<sup>2</sup>.

111. The method for retrieving data as in Claim 110, wherein said substrate has a moment of inertia of no more than about  $4.5 \times 10^{-3}$  slug-in<sup>2</sup>.

112. The method for retrieving data as in Claim 111, wherein said substrate has a moment of inertia of no more than about  $4.0 \times 10^{-3}$  slug-in<sup>2</sup>.

113. The method for retrieving data as in Claim 90, wherein said substrate has a surface roughness of less than about  $10 \text{ \AA } R_a$ .

114. The method for retrieving data as in Claim 113, wherein said substrate has a surface roughness of less than about  $5 \text{ \AA } R_a$ .

115. The method for retrieving data as in Claim 90, wherein said substrate has a mechanical damping coefficient of greater than about 0.04 at a temperature of 24°C.

116. The method for retrieving data as in Claim 115, wherein said substrate has a mechanical damping coefficient of greater than about 0.06 at a temperature of 24°C.

117. The method for retrieving data as in Claim 90, wherein said substrate has a moment of inertia of no more than about  $5.5 \times 10^{-3}$  slug-in<sup>2</sup>.

118. The method for retrieving data as in Claim 90, wherein the moisture content of said substrate varies less than about 0.5% at equilibrium under test conditions of 80°C at 85% relative humidity after 4 weeks.

119. The method for retrieving data as in Claim 90, wherein said substrate has a resonant frequency of greater than about 250 Hz.

120. The method for retrieving data as in Claim 90, wherein said substrate has a specific gravity of less than about 1.5.

121. The method for retrieving data as in Claim 90, wherein said substrate is an amorphous, crystalline, or semi-crystalline material, a composite, or a blend or combination thereof.

122. The method for retrieving data as in Claim 121, wherein said substrate further comprises metal.

123. The method for retrieving data as in Claim 90, wherein said substrate comprises at one resin of the group consisting of polyvinyl chloride, polyolefins, polyesters, polyimide, polyamides, polysulfones, polyether imides, polyether sulfones, polyphenylene sulfides, polyether ketones, polyether ether ketones, ABS resins, polystyrenes, polybutadiene, polyacrylates, polyacrylonitrile, polyacetals, polycarbonates, polyphenylene ethers, ethylene-vinyl acetate copolymers, polyvinyl acetate, liquid crystal polymers, ethylene-tetrafluoroethylene copolymer, aromatic polyesters, polyvinyl fluoride, polyvinylidene fluoride, polyvinylidene chloride, Teflons, and blends, copolymers, mixtures and composites comprising at least one of the foregoing resins.

124. The method for retrieving data as in Claim 90, wherein said substrate is a hydrogenated polystyrene, a poly(cyclohexyl ethylene), poly(styrene-co-acrylonitrile), poly(styrene-co-maleic anhydride), or a blend or copolymer comprising at least one of the foregoing resins.

125. The method for retrieving data as in Claim 90, wherein said substrate further comprises metal.

126. The method for retrieving data as in Claim 125, wherein said plastic resin portion is a film having a thickness of about 50 $\mu$  or less.

127. The method for retrieving data as in Claim 126, wherein said thickness is about 20 $\mu$  or less.

128. The method for retrieving data as in Claim 126, wherein said reinforcement comprises fibers, whiskers, fibrils, nanotubes, particulate, or a combination comprising at least one of the foregoing resins.

129. The method for retrieving data as in Claim 126, wherein said reinforcement is at least one of metal, plastic, mineral, ceramic, glass, and a combination comprising at least one of the foregoing resins.

130. The method for retrieving data as in Claim 90, wherein said substrate further comprises reinforcement.

131. The method for retrieving data as in Claim 90, wherein said substrate has a thickness, said substrate thickness being substantially constant.

132. The method for retrieving data as in Claim 90, wherein said substrate has a thickness, said substrate thickness being varied.

133. The method for retrieving data as in Claim 90, wherein said substrate has a thickness geometry, said substrate thickness geometry being concave, convex, tapered, or a combination thereof.

134. The method for retrieving data as in Claim 90, wherein said substrate has an outer diameter and further comprises a core.

135. The method for retrieving data as in Claim 134, wherein said core has a thickness, said core thickness being substantially constant.

136. The method for retrieving data as in Claim 134, wherein said core has a thickness, said core thickness being varied.

137. The method for retrieving data as in Claim 134, wherein said core has a geometry, said core geometry being concave, convex, tapered, or a combination comprising at least one of the foregoing geometries.

138. The method for retrieving data as in Claim 134, wherein said core has an outer diameter, said core outer diameter being substantially equal to said substrate outer diameter.

139. The method for retrieving data as in Claim 134, wherein said core has a geometry, said geometry being at least one radial arm, at least one ring, star-like or a combination comprising at least one of the foregoing geometries.

140. The method for retrieving data as in Claim 134, wherein said core comprises at least one hollow cavity.

141. The method for retrieving data as in Claim 134, wherein said core comprises at least one filled cavity.

142. The method for retrieving data as in Claim 134, wherein said core comprises multiple portions.

143. The method for retrieving data as in Claim 142, wherein said multiple portions comprise different materials.

144. The method for retrieving data as in Claim 134, wherein said core is preformed.

145. The method for retrieving data as in Claim 134, wherein said core is formed in situ with said substrate.

146. The method for retrieving data as in Claim 90, further comprising at least one insert attached to said substrate.

147. The method for retrieving data as in Claim 146, wherein said insert comprises a plurality of portions attached to said substrate on a surface of said substrate opposite said data layer.

148. The method for retrieving data as in Claim 146, wherein said insert comprises a single member having a substantially uniform thickness, said insert attached to said substrate on a surface of said substrate opposite said data layer.

149. The method for retrieving data as in Claim 90, wherein said substrate has a first modal frequency greater than an operating frequency.

150. The method for retrieving data as in Claim 149, wherein the substrate possesses a flexural modulus and specific gravity that places the first modal frequency outside of said operating frequency.

151. The method for retrieving data as in Claim 90, wherein said substrate has only one modal frequency less than an operating frequency.

152. The method for retrieving data as in Claim 90, wherein said substrate has a second operating frequency and a first modal frequency greater than a first operating frequency.

153. The method for retrieving data as in Claim 90, wherein the substrate possesses a flexural modulus of at least about 250 kpsi.

154. A method for embossing a substrate having a glass transition temperature, comprising:

a. heating the first substrate to a temperature above the glass transition temperature;

5           b. preheating and maintaining a mold to a mold temperature below the glass transition temperature;

c. introducing the heated substrate to the preheated mold;

d. compressing the substrate in the mold;

e. cooling the substrate; and

10           f. removing the cooled substrate from the mold.



155. A method for embossing as in Claim 154, wherein said substrate has a plastic portion on its surface, and wherein compressing the substrate in the mold imprints a desired surface feature into the plastic.

156. A method for embossing as in Claim 155, wherein said plastic is a thermoplastic material, thermoset material, or combination thereof.

157. A method for embossing as in Claim 155, wherein said plastic is a thermoplastic material selected from the group consisting of polyvinyl chloride, polyolefins, polyesters, polyimide, polyamides, polysulfones, polyether imides, polyether sulfones, polyphenylene sulfides, polyether ketones, polyether ether ketones, ABS resins, polystyrenes, polybutadiene, polyacrylates, polyacrylonitrile, polyacetals, polycarbonates, polyphenylene ethers, ethylene-vinyl acetate copolymers, polyvinyl acetate, liquid crystal polymers, ethylene-tetrafluoroethylene copolymer, aromatic polyesters, polyvinyl fluoride, polyvinylidene fluoride, polyvinylidene chloride, and Teflons; a thermosetting resin selected from the group consisting of epoxy, phenolic, alkyds, polyester, polyimide, polyurethane, mineral filled silicone, bis-maleimides, cyanate esters, vinyl, and benzocyclobutene resins, or blends, copolymers, mixtures or composites comprising at least one of the foregoing plastics.

158. A method for embossing as in Claim 154, wherein said first substrate has a roughness and said cooled substrate has a roughness less than said first substrate roughness.

159. A method for embossing a substrate having a glass transition temperature, comprising:

a. heating the substrate to a temperature above the glass transition temperature;

5 b. preheating a mold to a mold temperature up to about 30°C above the glass transition temperature;

c. introducing the heated substrate to the preheated mold;

d. compressing the substrate in the mold;

e. cooling the substrate; and

10 f. removing the cooled substrate from the mold.

160. A method for embossing as in Claim 159, further comprising cooling said substrate to below the glass transition temperature.

161. A method for embossing as in Claim 159, further comprising cooling the mold to below the glass transition temperature.

162. A method for embossing as in Claim 159, wherein the mold temperature is about 20°C or less above the glass transition temperature.

163. A method for embossing as in Claim 162, wherein the mold temperature is about 5°C or less above the glass transition temperature.

164. A method for embossing as in Claim 159, further comprising maintaining the mold temperature within 30°C of the glass transition temperature while cooling the substrate.

165. A method for embossing as in Claim 158, wherein said first substrate has a roughness and said cooled substrate has a roughness less than said first substrate roughness.

166. A storage media for data, said media comprising:

- a) a substrate comprising at least one plastic resin portion; and
- b) at least one data layer disposed on said substrate;

wherein said substrate has an axial displacement peak of less than about 500  $\mu$  under shock or vibration excitation.

5

167. The storage media as in Claim 166, wherein said substrate has an operating frequency and wherein said substrate has a first modal frequency greater than said operating frequency.

168. The storage media as in Claim 166, wherein said plastic resin portion is a film having a thickness of about 50 $\mu$  or less.

169. The storage media as in Claim 168, wherein said thickness is about 20 $\mu$  or less.

170. The storage media as in Claim 166, wherein said substrate has a surface roughness of less than about 10 Å  $R_a$ .

171. A storage media as in Claim 170, wherein said substrate has a surface roughness of less than about 5 Å  $R_a$ .

172. The storage media as in Claim 170, wherein said plastic resin portion is a film having a thickness of about 50 $\mu$  or less.

173. The storage media as in Claim 171, wherein said thickness is about 20 $\mu$  or less.
174. The storage media as in Claim 166, wherein said substrate has a radial tilt of about 1° or less.
175. A storage media as in Claim 174, wherein said substrate has a radial tilt of about 0.3° or less.
176. The storage media as in Claim 174, wherein said plastic resin portion is a film having a thickness of about 50 $\mu$  or less.
177. The storage media as in Claim 176, wherein said thickness is about 20 $\mu$  or less.
178. A storage media for data, said media comprising:
- a) a substrate comprising at least one plastic resin portion and a core, wherein said core has a varied thickness; and
  - b) at least one data layer disposed on said substrate.
179. The storage media as in Claim 178, wherein said plastic resin portion is a film having a thickness of about 50 $\mu$  or less.
180. The storage media as in Claim 179, wherein said thickness is about 20 $\mu$  or less.

181. A storage media for data, said media comprising:

- a) a rigid substrate;
- b) at least one plastic film; and
- c) at least one data layer disposed on said plastic film;

5

wherein said data layer can be at least partly read from, written to, or a combination thereof by at least one energy field; and

wherein said energy field comprises at least one of an electric field, a magnetic field, and an optical field.

182. The storage media as in Claim 181, wherein said rigid substrate has a Young's modulus of at least about 7 GPa.

183. The storage media as in Claim 182, wherein said Young's modulus is at least about 70 GPa.

184. The storage media as in Claim 183, wherein said Young's modulus is at least about 200 GPa.

185. The storage media as in Claim 181, wherein said rigid substrate comprises at least one of metal, glass, ceramic, reinforced plastic, or combinations thereof.

186. The storage media as in Claim 181, wherein said plastic film comprises embossed surface features.

187. The storage media as in Claim 181, wherein said plastic film comprises embossed surface features selected from the group consisting of pits, grooves, edge features, asperities, and combinations comprising at least one of the foregoing.

188. The storage media as in Claim 181, wherein said rigid substrate comprises a coated aluminum substrate.

189. The storage media as in Claim 181, wherein said rigid substrate comprises a glass-ceramic substrate.

190. The storage media as in Claim 181, wherein said rigid substrate comprises a glass substrate.

191. The storage media as in Claim 181, wherein the head slap characteristics of the storage media containing the at least one plastic film is substantially equivalent to a the storage media not containing the at least one plastic film.

192. The storage media as in Claim 181, wherein said storage media has a data layer with a coercivity of at least about 1,500 oersted.

193. The storage media as in Claim 181, wherein said storage media has a data layer with a coercivity of at least about 3,000 oersted.

194. The storage media as in Claim 181, comprising at least one spin coated, spray coated, or spin and spray coated plastic film.

195. The storage media as in Claim 181, wherein said plastic film comprises a thermoplastic resin with a glass transition temperature of at least 140°C.

196. The storage media as in Claim 181, wherein said plastic film comprises at least one thermoplastic resin of the group consisting of polyetherimides, polyetheretherketones, polysulfones, polyethersulfones, polyetherethersulfones, polyphenylene ethers, thermoplastic polyimides, and polycarbonates.

197. The storage media as in Claim 181, wherein said plastic film comprises at least one thermoset resin comprising embossed surface features.

198. The storage media as in Claim 181, wherein said plastic film comprises at least one thermoset resin, wherein at least one thermoset resin at least partially cures during a process to emboss surface features onto at least one thermoset resin.

199. The storage media as in Claim 181, wherein said plastic film comprises at least one thermoset resin selected from the group consisting of epoxy, phenolic, alkyds, polyester, polyimide, polyurethane, mineral filled silicone, bis-maleimides, cyanate esters, vinyl, and benzocyclobutene resins.

200. The storage media as in Claim 181, wherein said storage media has an areal density greater than about 6 Gbits/in<sup>2</sup>.

201. The storage media as in Claim 181, wherein said plastic film has a surface roughness of less than about 10 Å  $R_a$ .

202. The storage media as in Claim 181, wherein said media comprising:

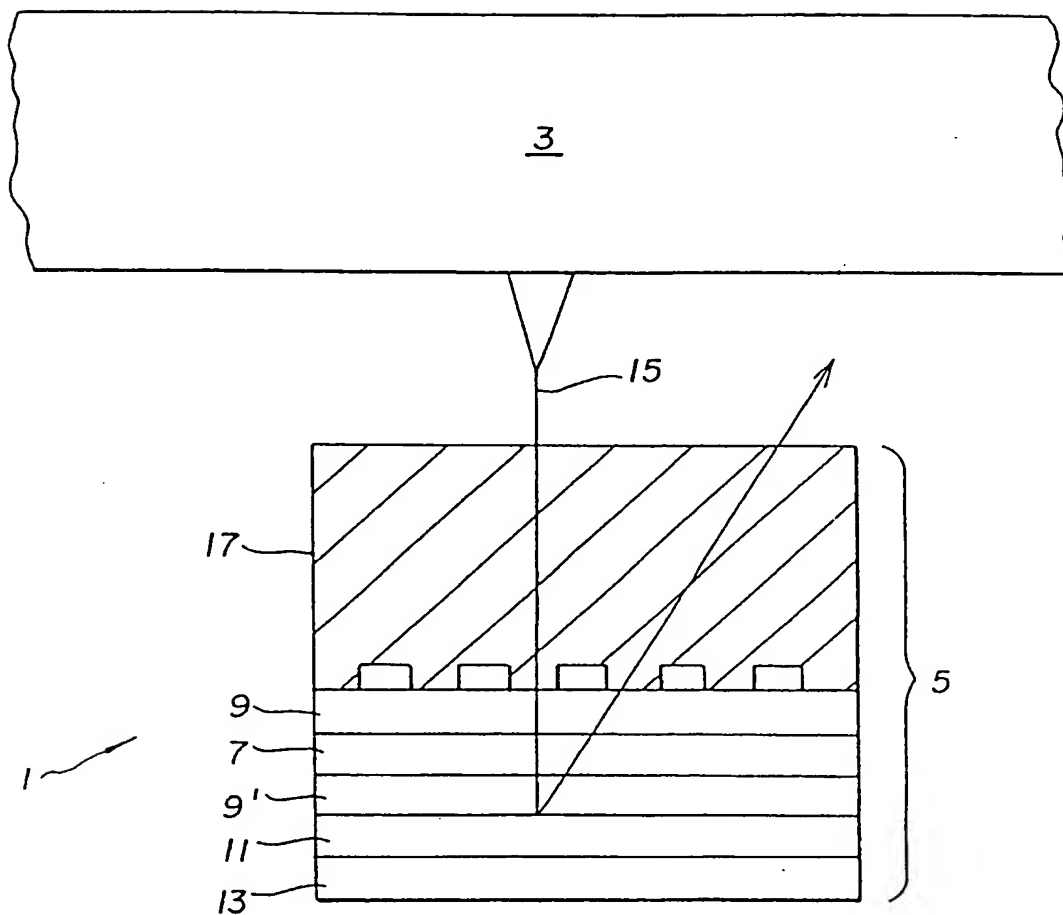
- a) a rigid substrate having a top side and a bottom side;
- b) at least one plastic film on each of said top side and said bottom side; and

5 c) at least one data layer disposed on at least one of said plastic film on each of said top side and said bottom side; and

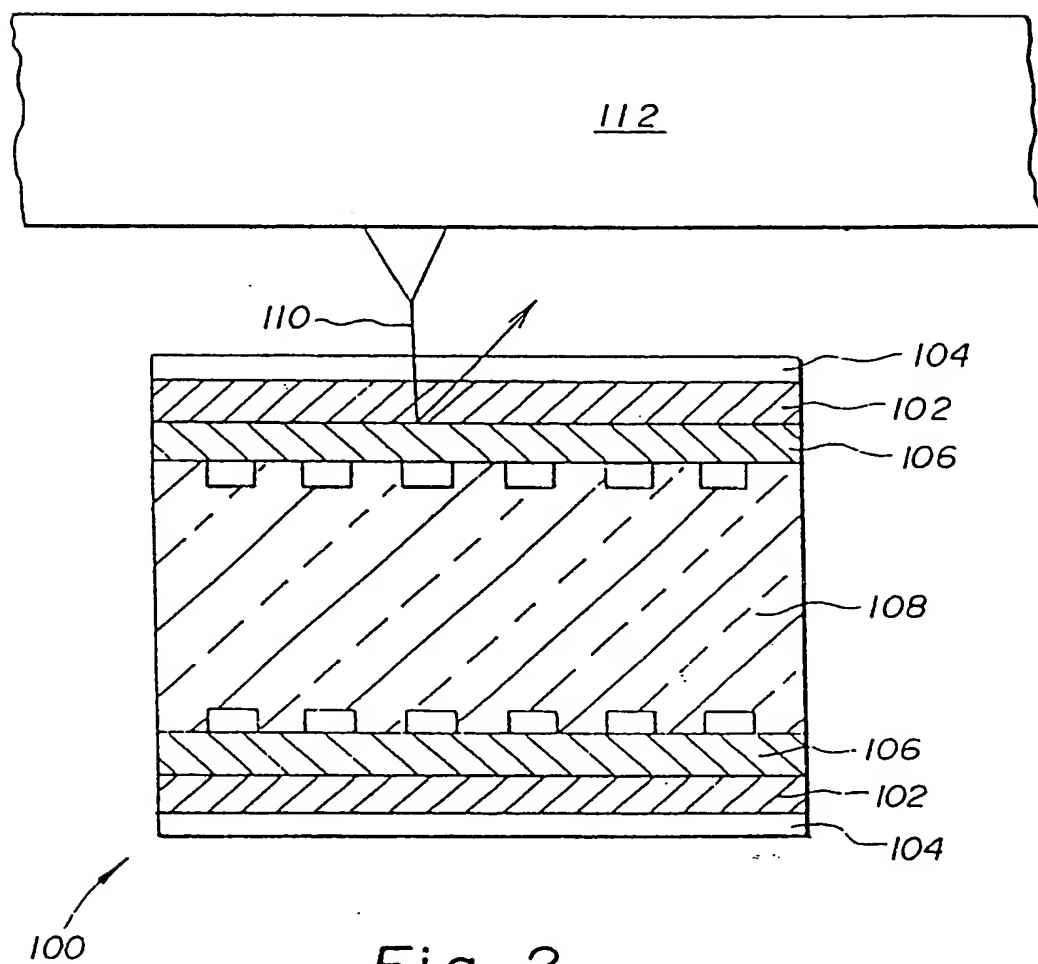
wherein said data layer can be at least partly read from, written to, or a combination thereof by at least one energy field; and

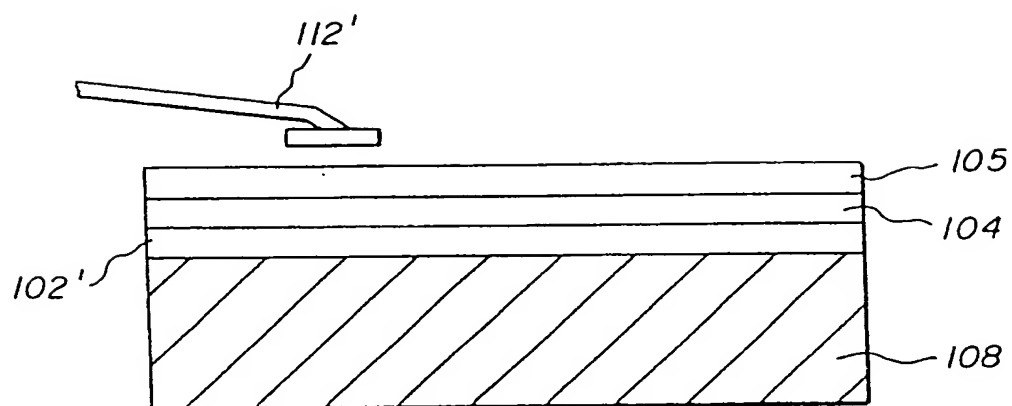
10 wherein said energy field comprises at least one of an electric field, a magnetic field, and an optical field.



*Fig. 1*

PRIOR ART

*Fig. 2*

*Fig. 3*

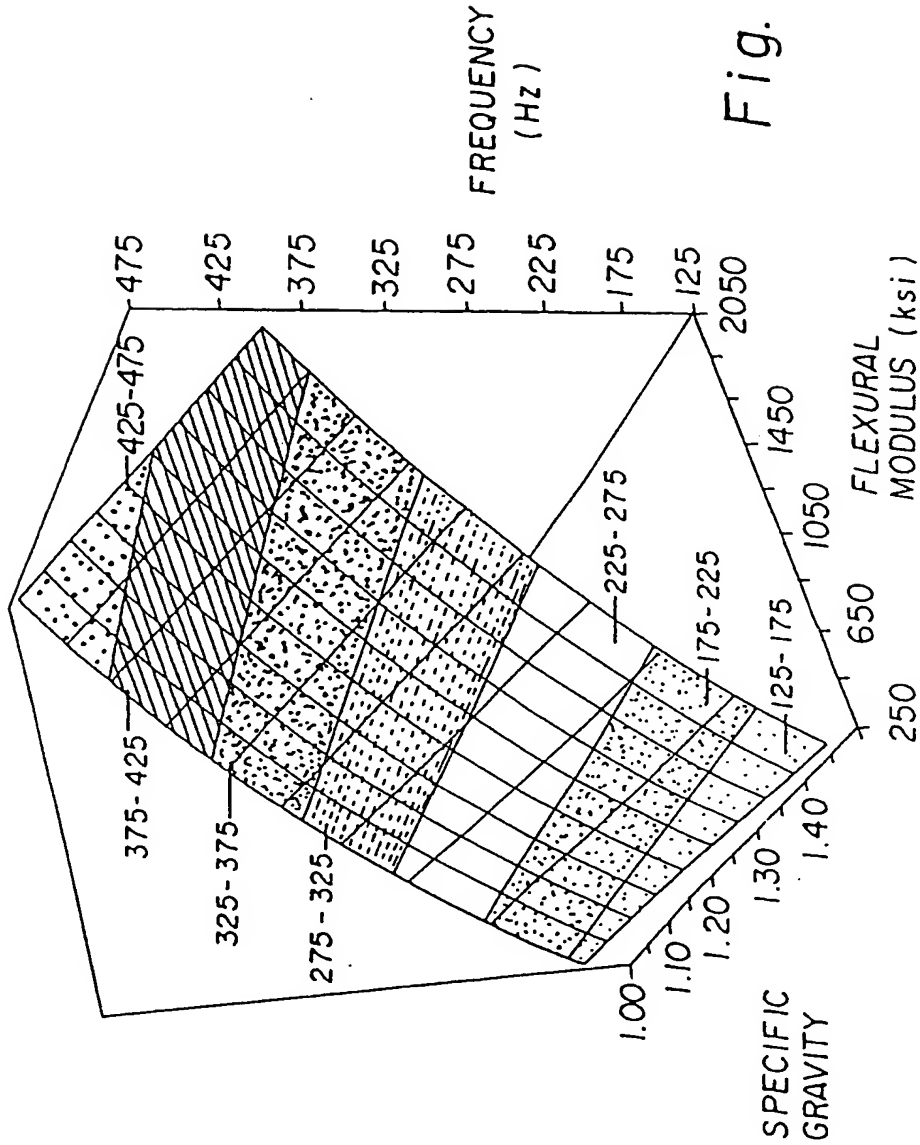
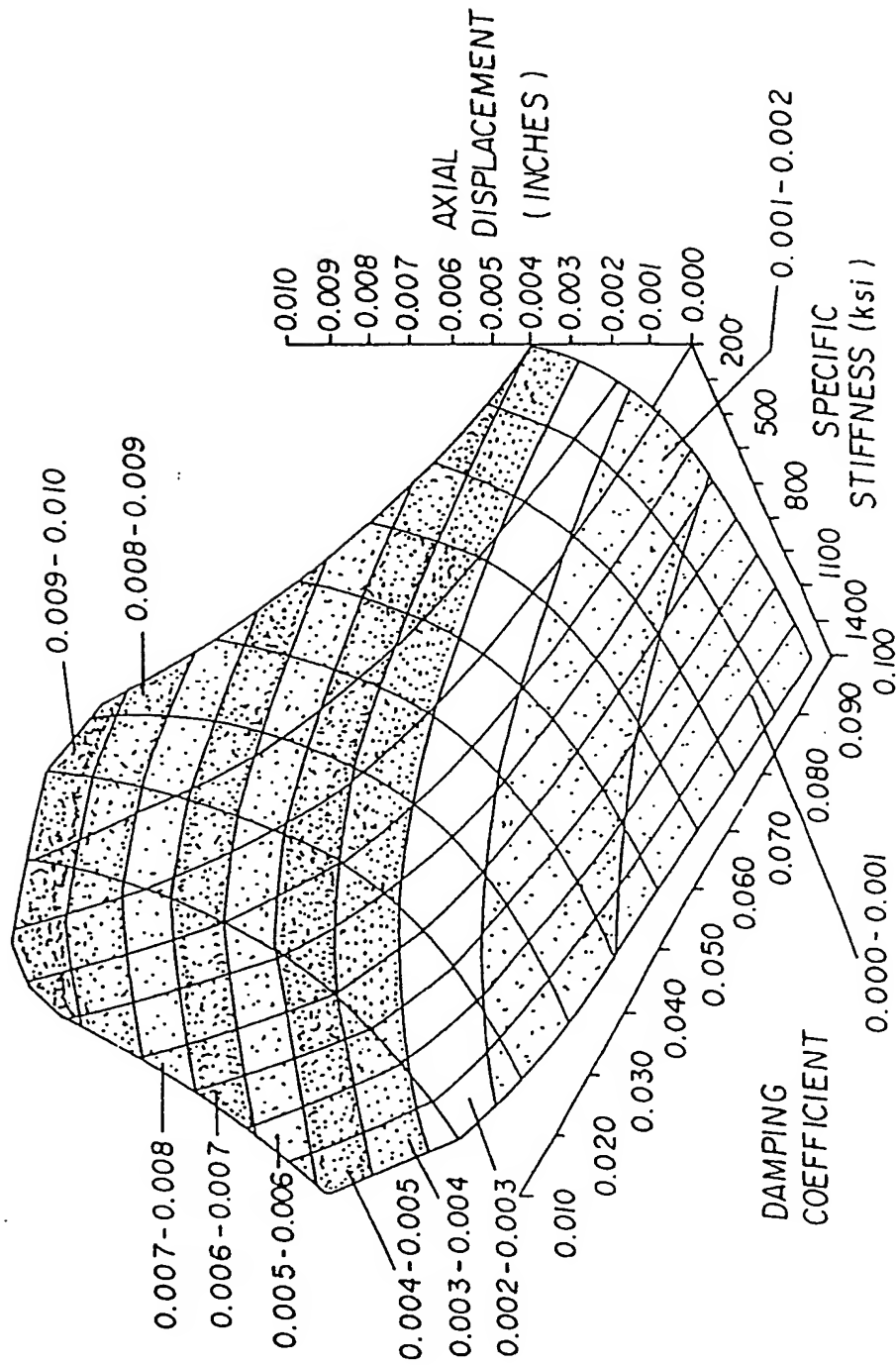


Fig. 4

Fig. 5



6/15

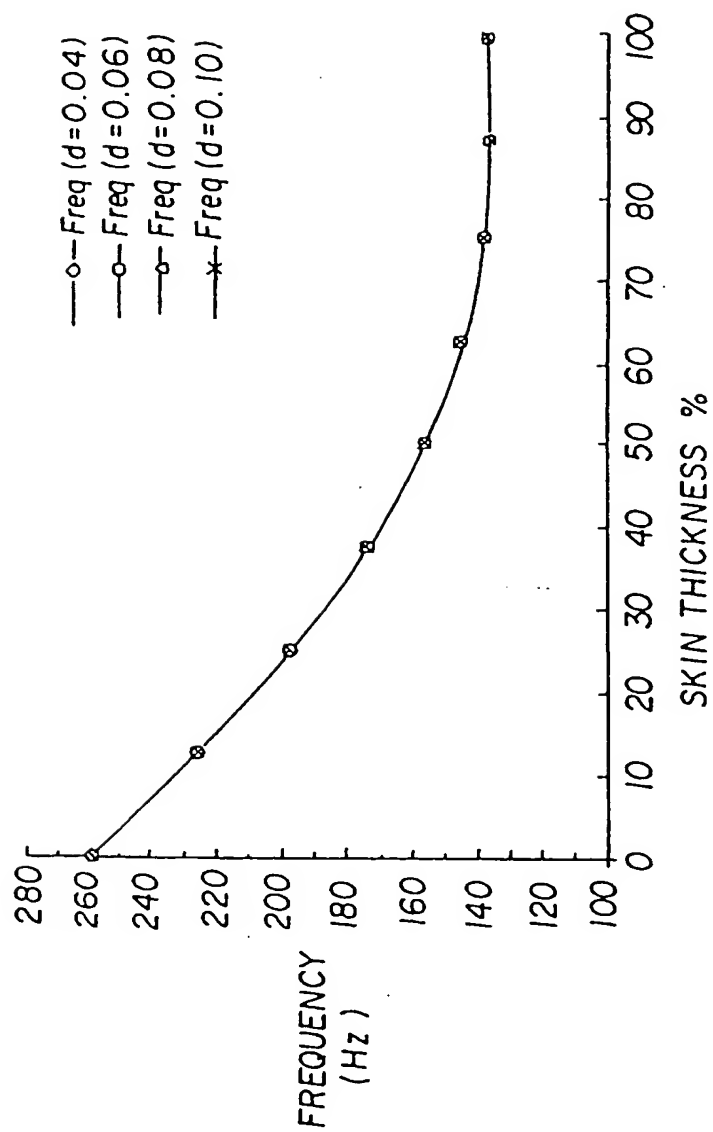


Fig. 6

7/15

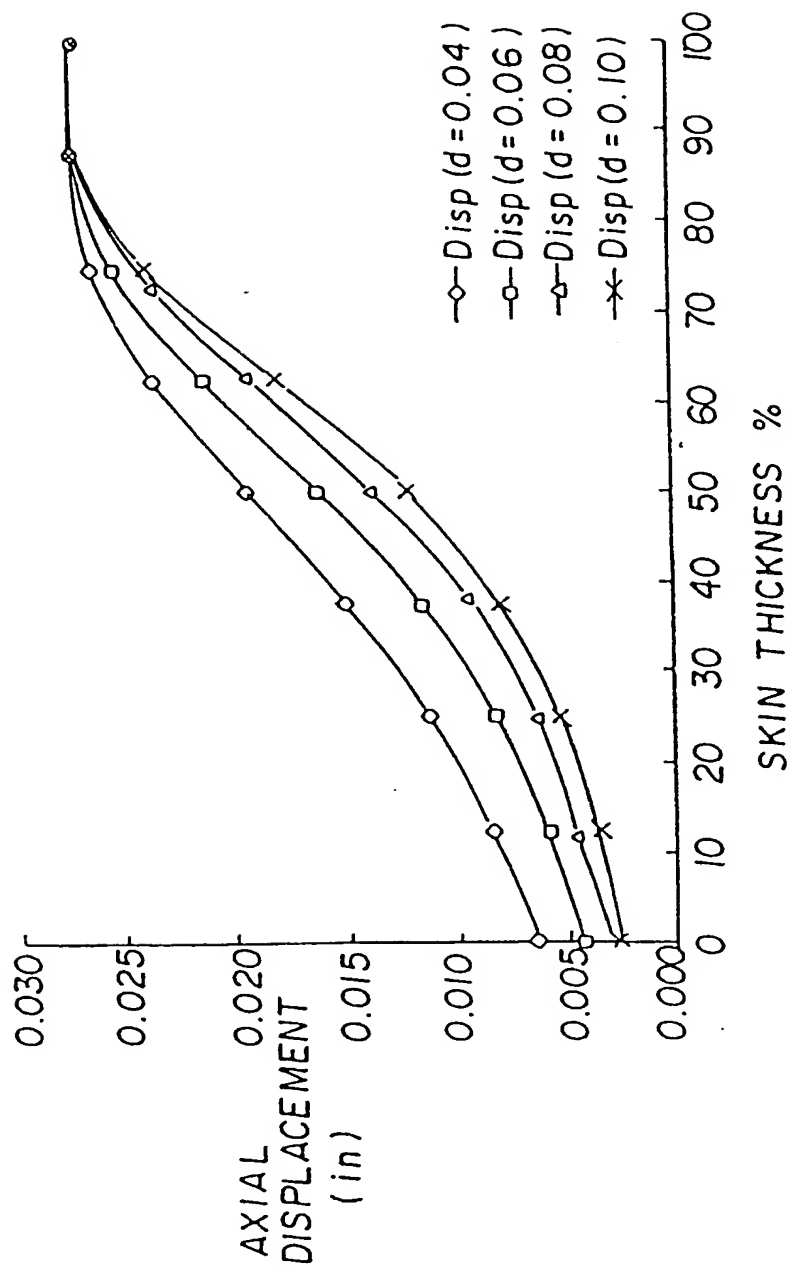
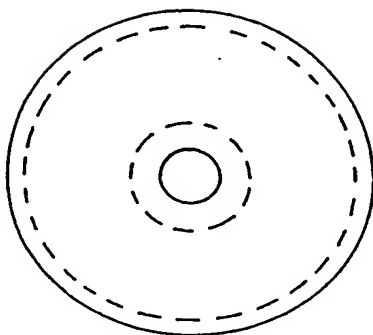
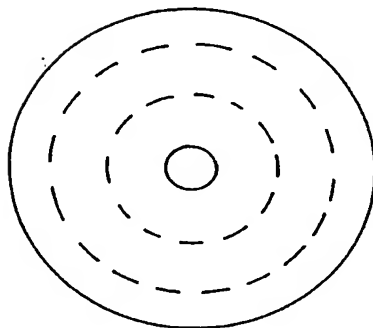


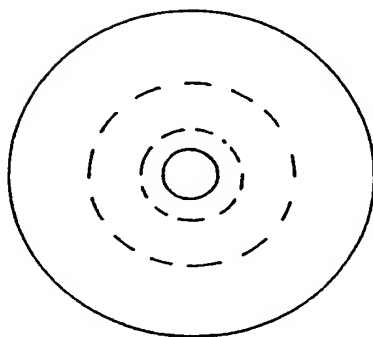
Fig. 7



*Fig. 8*

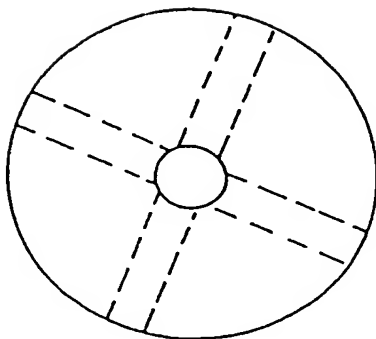


*Fig. 9*

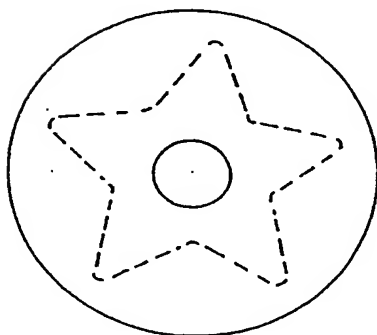


*Fig. 10*

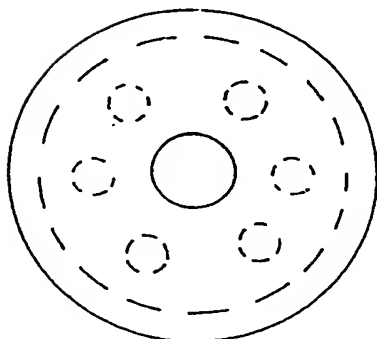




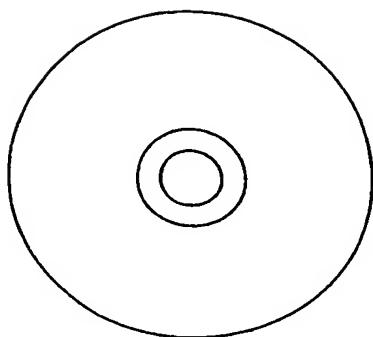
*Fig. 11*



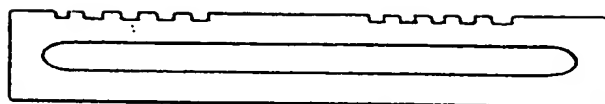
*Fig. 12*



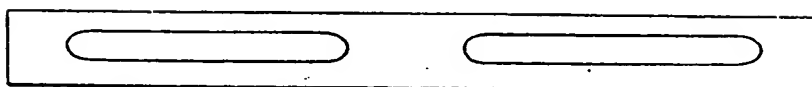
*Fig. 13*



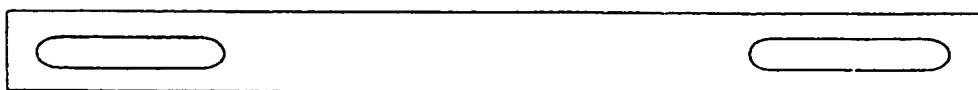
*Fig. 14*



*Fig. 15*

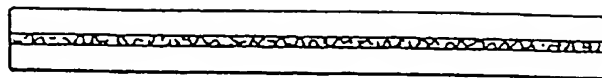


*Fig. 16*

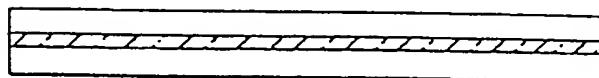


*Fig. 17*

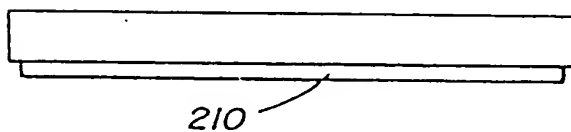
11/15



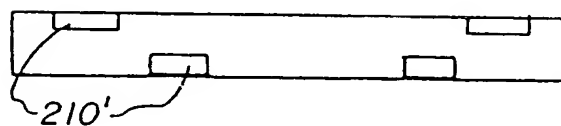
*Fig. 18*



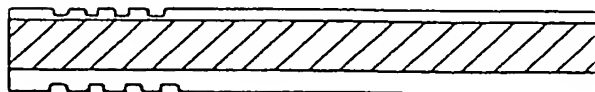
*Fig. 19*



*Fig. 20*

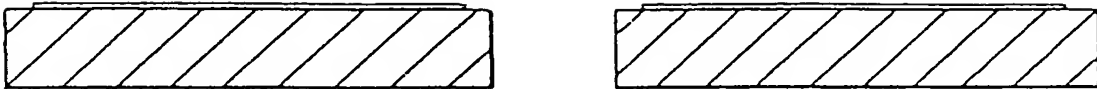


*Fig. 21*



*Fig. 22*

12/15



*Fig. 23*



*Fig. 24*

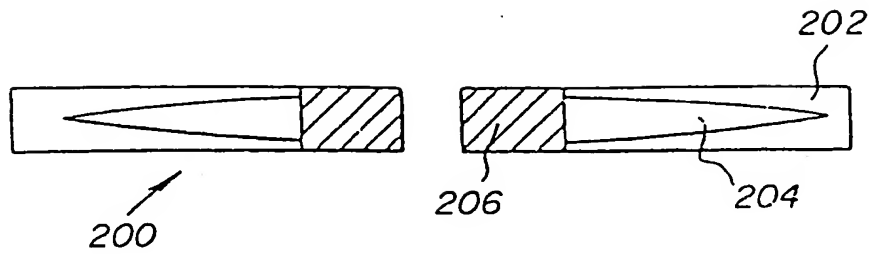


Fig. 25

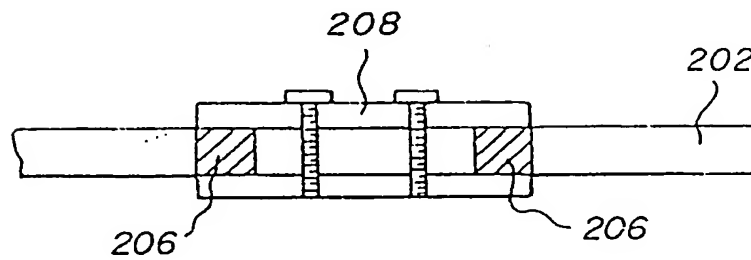


Fig. 26

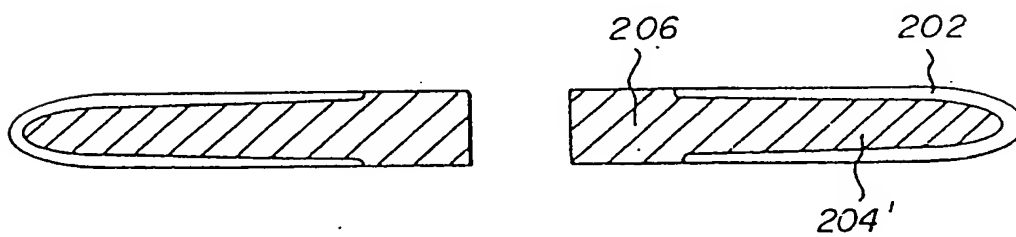
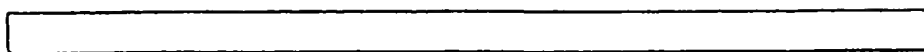


Fig. 27

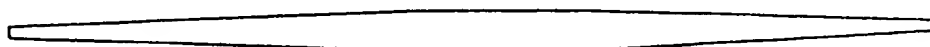
*Fig. 28*



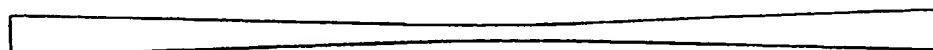
*Fig. 29*



*Fig. 30*



*Fig. 31*



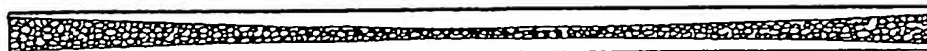
*Fig. 32*



*Fig. 33*

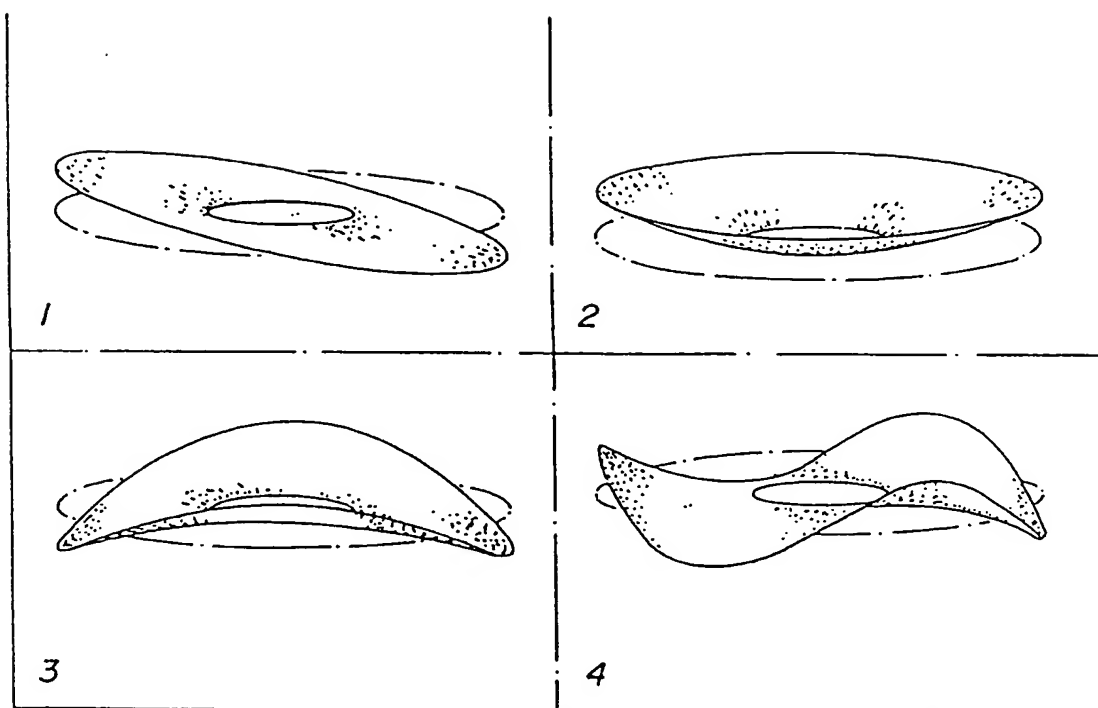


*Fig. 34*



*Fig. 35*



*Fig. 36*

# PATENT COOPERATION TREATY

# PCT

## DECLARATION OF NON-ESTABLISHMENT OF INTERNATIONAL SEARCH REPORT

(PCT Article 17(2)(a), Rules 13ter.1(c) and Rule 39)

Applicant's or agent's file reference <b>08CN-8803</b>	<b>IMPORTANT DECLARATION</b>	Date of mailing (day/month/year) <b>17/05/2000</b>
International application No. <b>PCT/US 00/ 03644</b>	International filing date (day/month/year) <b>11/02/2000</b>	(Earliest) Priority date (day/month/year) <b>12/02/1999</b>
International Patent Classification (IPC) or both national classification and IPC <b>G11B</b>		
Applicant <b>GENERAL ELECTRIC COMPANY</b>		


This International Searching Authority hereby declares, according to Article 17(2)(a), that no international search report will be established on the international application for the reasons indicated below

1. ☐ The subject matter of the international application relates to:
  - a. ☐ scientific theories.
  - b. ☐ mathematical theories
  - c. ☐ plant varieties.
  - d. ☐ animal varieties.
  - e. ☐ essentially biological processes for the production of plants and animals, other than microbiological processes and the products of such processes.
  - f. ☐ schemes, rules or methods of doing business.
  - g. ☐ schemes, rules or methods of performing purely mental acts.
  - h. ☐ schemes, rules or methods of playing games.
  - i. ☐ methods for treatment of the human body by surgery or therapy.
  - j. ☐ methods for treatment of the animal body by surgery or therapy.
  - k. ☐ diagnostic methods practised on the human or animal body.
  - l. ☐ mere presentations of information.
  - m. ☐ computer programs for which this International Searching Authority is not equipped to search prior art.
2. ☒ The failure of the following parts of the international application to comply with prescribed requirements prevents a meaningful search from being carried out:
 

☐ the description
 ☒ the claims
 ☐ the drawings
3. ☐ The failure of the nucleotide and/or amino acid sequence listing to comply with the standard provided for in Annex C of the Administrative Instructions prevents a meaningful search from being carried out:
 

☐ the written form has not been furnished or does not comply with the standard.
 ☐ the computer readable form has not been furnished or does not comply with the standard.
4. Further comments:

see further information PCT/ISA 203

Name and mailing address of the International Searching Authority  European Patent Office, P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer  <b>Cornelia Schulze</b>
---	---



## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 203

In view of the large number and also the wording of the claims presently on file, which render it difficult, if not impossible, to determine the matter for which protection is sought, the present application fails to comply with the clarity and/or conciseness requirements of Article 6 PCT (see also Rule 6.1(a) PCT) to such an extent that a meaningful search is impossible. Consequently, no search report can be established for the present application.

The applicant's attention is drawn to the fact that claims relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure. If the application proceeds into the regional phase before the EPO, the applicant is reminded that a search may be carried out during examination before the EPO (see EPO Guideline C-VI, 8.5), should the problems which led to the Article 17(2) declaration be overcome.